

# Concurrency

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# A kind of introduction...

- what is **concurrency** about?
- **concurrency** is about handling multiple tasks over the same period of time, giving the illusion they are running simultaneously by rapidly switching between them
- **parallelism** is about actually executing multiple tasks at the exact same time on different processors
- **communication** is about on how tasks coordinate
- **classic** not **quantum**

## A kind of introduction...

- we have that **concurrency** arises when several computational components operate simultaneously
- a **sequential** semantics fail to represent simultaneous events
- We require abstract models to describe
  - **independence**
  - **synchronization**
  - **causal** relationships
- **languages** to describe the components and how they **interact**

# Two fundamental perspectives

- *Interleaving*
  - concurrency represented as nondeterministic sequencing
  - loses explicit information about simultaneity
- *True Concurrency*
  - distinguishes causal dependence, independence and conflict
  - provides a more accurate view of concurrent processes

today mainly **interleaving**

# Specification languages

- how to describe concurrent systems?

The best way would be having a mathematically rigorous language with well defined semantics that permit describing and verifying properties of concurrent communicating systems

- Process Algebras

A Process Algebra can be seen as a model of processes, regarded as agents that act and interact continuously with other similar agents and with their common environment

- there are a lot of them: CCS, CSP, ACP,  $\pi$ -calculus ... and many more

# What are Process Algebras?

- formal languages and **calculi** to specify and reason about concurrent interacting systems
- stress on **composition**, **synchronisation**, **nondeterminism** and **interaction** with an environment
- useful for **specification**, **verification** and **modelling** of protocols, concurrent software and distributed systems
- well suited to specify **reactive behaviour**: continuous **interaction**, no well-defined termination
- emphasis on **actions**: visible versus internal (the  $\tau$ )
- **compositionality**: build complex systems from components

# A tour on Process Algebra elements

Typical syntactic constructs

$$P ::= \mathbf{0} \mid a.P \mid P + Q \mid P \parallel Q \mid P \setminus L \mid P[f] \mid X \mid \text{rec } X P$$

where the intuition is

- $\mathbf{0}$  does nothing
- $a.P$  action prefixing
- $P + Q$  nondeterministic choice
- $P \parallel Q$  parallel composition
- $P \setminus L$  restrict to actions in  $L$
- $P[f]$  rename actions according to  $f$
- $\text{rec } X P$ : recursion for infinite behaviour

## Syntax – Other Building Blocks

one can have also other syntactic constructs

$$P ::= P; Q \mid P \oplus Q \mid P \parallel Q \mid A \triangleq P \dots$$

where

- $P; Q$  a **sequential** composition
- $P \oplus Q$  **external** nondeterministic choice
- $P \parallel Q$  **left** parallel composition
- $A \triangleq P$  process **definition**
- ...



## A brief recap: ingredients for a Process Algebra

- a **minimal** set of **carefully** chosen operators capturing the relevant aspect of systems behaviour and the way systems are composed in building process terms (we have seen a tiny bit)
- we focus on standard operators: action prefixing, choice, parallel composition, recursion...

$$P ::= \mathbf{0} \mid a.P \mid P + Q \mid P \parallel Q \mid P \setminus L \mid P[f] \mid X \mid \text{rec } X P$$

# The intuition behind the elements of a process algebra

- $0$  does nothing
- it is a kind of successful termination (like ✓)
- we may introduce something for failure

# Action Prefixing

$a.P$  first performs action  $a$  then behaves like  $P$

- operational rule (informal):  $a.P \xrightarrow{a} P$
- example:  $\text{coin.choc.0}$  — accept coin then dispense chocolate

# Nondeterministic Choice

$P + Q$  represents a process that may behave as either  $P$  or  $Q$

- operationally: if  $P \xrightarrow{\alpha} P'$  then  $P + Q \xrightarrow{\alpha} P'$  (and symmetrically for  $Q$ )
- distinguish internal choice (unobservable resolution) vs external choice (environment selects via offered actions)
- example:  $\text{coin}.(choc.\mathbf{0} + chips.\mathbf{0})$  — accept a coin and then it chooses whether dispense chocolate or chips

# Parallel Composition

$P \parallel Q$  **executes**  $P$  and  $Q$  **concurrently**

- **interleaving**: **independent** actions interleave
- example:  $\text{choc.}\mathbf{0} \parallel \text{chips.}\mathbf{0}$  dispenses both **chocolate** and **chips**, either one after the other or together
- **synchronisation**: complementary actions may synchronise to a joint step (depending on calculus) - simultaneous
- example:  $a.P \parallel \bar{a}.Q$  may **synchronise** yielding  $P \parallel Q$

# Restriction, Hiding and Renaming

- restriction  $(P \setminus L)$ : **prevent** actions (and co-action) in  $L$  from being visible — often turned into  $\tau$
- example:  $(a.P \parallel \bar{a}.Q) \setminus \{a, \bar{a}\}$  has to **synchronise** yielding  $(P \parallel Q) \setminus \{a, \bar{a}\}$
- renaming  $P[f]$ : apply a **relabelling** function  $f$  to action names
- example:  $\text{choc}.\mathbf{0}[f]$  where  $f(\text{choc}) = \text{chips}$  dispense **chips**

# Recursion and Infinite Behaviour

- **recursion** lets us specify infinite behaviours
- **guarded recursion** (prefix on recursion) ensures well-behaved operational unfolding
- example:  $\text{rec } X \ a.X$  models unbounded repetition of  $a$
- many times we introduce names and **definitions**:  $A \triangleq \alpha.B$   
infinite behaviours arise when the name  $A$  occurs in  $B$
- example:  $X \triangleq a.X$  models unbounded repetition of  $a$
- definitions are often handy

## A bit more formal

- how to describe the behaviours?
- up to now we have given a kind of description of the behaviours of process algebras terms
- we want to be more formal to be able to reason on them
- let us discuss a bit about the semantics



## Various ways of giving semantics

an **operational** semantics models a program as a labelled transition system

the states are just process algebra terms and the labels of the transitions represent the actions or the interactions

the operational semantics is close to an **abstract machine-based** view of computation and might be considered as a mathematical formalization of some implementation strategy

## Various ways of giving semantics

a **denotational** semantics maps a language to some abstract model such that the meaning/denotation (in the model) of any composite program is determinable directly from the meanings/denotations of its subcomponents

denotational semantics attempt to distance themselves from any specific implementation strategy, describing the language at a level intended to capture the **essential meaning** of a term.

## Various ways of giving semantics

an **algebraic** semantics is defined by a set of **algebraic laws** capturing the intended semantics of the constructs of the language

the **laws** are the basic axioms of an equational system, and process equivalence is defined in terms of what equalities can be proved using them

# Labelled Transition System (LTS)

$$(\mathcal{Q}, \rightarrow, \mathcal{Act}, q_0)$$

- a set of states  $\mathcal{Q}$
- a labelled transition relation  $\rightarrow \subseteq \mathcal{Q} \times \mathcal{Act} \times \mathcal{Q}$
- an initial state  $q_0$

we write  $q \xrightarrow{a} q'$  for  $(q, a, q') \in \rightarrow$

LTS are everywhere

# An LTS for CCS

the labels

- fix  $\mathcal{A}$  to be a set of actions and  $\overline{\mathcal{A}} = \{\overline{a} \mid a \in \mathcal{A}\}$  be the set of their co-actions such that  $\overline{\overline{a}} = a$
- prefix labels:  $\alpha ::= a \mid \overline{a} \mid \tau$  with  $a \in \mathcal{A}$
- $Act = \mathcal{A} \cup \overline{\mathcal{A}} \cup \{\tau\}$
- prefixes are actions:  $\alpha \in Act$
- visible actions:  $\mu \in \mathcal{A} \cup \overline{\mathcal{A}}$

# An LTS for CCS

Processes are

$$P ::= \mathbf{0} \mid \alpha.P \mid P + Q \mid P \parallel Q \mid P \setminus L \mid X \mid \text{rec } X P$$

the LTS of a process  $P$  is  $(\mathcal{Q}, \rightarrow, \mathcal{Act}, P)$  where  $\mathcal{Q}$  are processes and  $\rightarrow$  is the relation defined by the SOS rules

we focus on the reachable part

Given a term  $P$  we construct the LTS associated to it using the SOS rules we will illustrate in the next slides

there is an equivalence relation around: e.g.  $P \parallel Q$  is the same as  $Q \parallel P$

# Action Prefixing

recall:  $\alpha.P$  first performs action  $\alpha$  then behaves like  $P$

- SOS rule:

$$\frac{}{a.P \xrightarrow{a} P}$$

# Nondeterministic Choice

recall:  $P + Q$  represents a process that may behave as either  $P$  or  $Q$

- SOS rule

$$\frac{P \xrightarrow{\alpha} P'}{P + Q \xrightarrow{\alpha} P'} \quad \text{and} \quad \frac{Q \xrightarrow{\alpha} Q'}{P + Q \xrightarrow{\alpha} Q'}$$



# Parallel Composition

recall:  $P \parallel Q$  executes  $P$  and  $Q$  concurrently

- SOS rules for the **interleaving**

$$\frac{P \xrightarrow{\alpha} P'}{P \parallel Q \xrightarrow{\alpha} P' \parallel Q}$$

$$\frac{Q \xrightarrow{\alpha} Q'}{P \parallel Q \xrightarrow{\alpha} P \parallel Q'}$$

- SOS rules for the **synchronizations**

$$\frac{P \xrightarrow{\alpha} P' \quad Q \xrightarrow{\bar{\alpha}} Q'}{P \parallel Q \xrightarrow{\tau} P' \parallel Q'}$$

# Restriction, Hiding and Renaming

recall:  $P \setminus L$  prevent visible actions in  $L$  from being visible and  $P[f]$  renames visible actions in  $P$

- **restriction** SOS rule:

$$\frac{P \xrightarrow{\mu} P' \quad \mu \notin L}{P \setminus L \xrightarrow{\mu} P' \setminus L}$$

- **renaming** SOS rule:

$$\frac{P \xrightarrow{\mu} P'}{P[f] \xrightarrow{f(\mu)} P'[f]}$$

# Recursion and Infinite Behaviour

recall:  $\text{rec } X.P$  executes  $P$  where  $X$  has to be substituted with the term itself

- SOS rule **intuition**: unfold one step of the recursive definition

$$\frac{P\{\text{rec } X.P/X\} \xrightarrow{\alpha} P'}{\text{rec } X.P \xrightarrow{\alpha} P'}$$

# Recursion and Infinite Behaviour

recall:  $A \triangleq P$  executes  $P$  where  $A$  has to be substituted with the term itself

- SOS rule:

$$\frac{P \xrightarrow{\alpha} P'}{A \xrightarrow{\alpha} P'} \quad \text{where } A \triangleq P$$

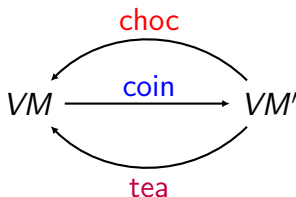
## Examples

- one can model a vending machine as follows

$$VM = \text{coin}. VM'$$

$$VM' = \text{choc}. VM + \text{tea}. VM$$

- really simple: upon inserting a coin it delivers either tea or chocolate but not both and then wait for another coin

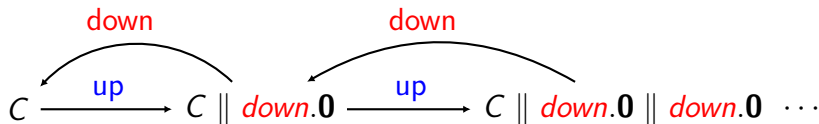


## Examples

- one can model a counter as follows

$$C = \text{up}. (C \parallel \text{down}.0)$$

- each time an up is received then it increase the number of elements of the counter
- $C \xrightarrow{\text{up}} C \parallel \text{down}.0 \xrightarrow{\text{up}} C \parallel \text{down}.0 \parallel \text{down}.0 \xrightarrow{\text{up}} C \parallel \text{down}.0 \parallel \text{down}.0 \parallel \text{down}.0$



$C$  is **equivalent** to  $C \parallel 0 \dots$

# Examples

- one can model a printer server as follows

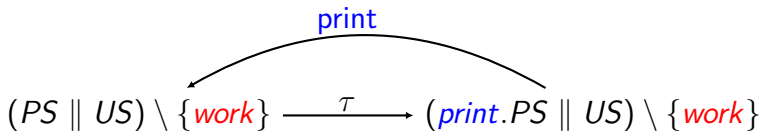
$$PS = \text{work}.\text{print}.PS$$

$$US = \overline{\text{work}}.US$$

$$(PS \parallel US) \setminus \{\text{work}\}$$

- the server and the user must synchronise on *work*

- $(PS \parallel US) \setminus \{\text{work}\} \xrightarrow{\tau} (\text{print}.PS \parallel US) \setminus \{\text{work}\} \xrightarrow{\text{print}} (PS \parallel US)$



# Traces and trace equivalence

- a **trace** of process  $P$  is a (finite) sequence of actions it can perform
- **paths** in the LTS
- two processes are **trace-equivalent** if they have the same set of traces
- trace equivalence is easy but coarse: it ignores branching

$\text{coin}.(choc.0 + tea.0)$  and  $\text{coin}.choc.0 + \text{coin}.tea.0$  have the same traces



## (Strong) bisimulation

- A binary relation  $R$  on processes is a **bisimulation** iff whenever  $(P, Q) \in R$ 
  - For every  $P \xrightarrow{\alpha} P'$  there exists  $Q \xrightarrow{\alpha} Q'$  with  $(P', Q') \in R$
  - Symmetrically for transitions from  $Q$ .
- $P$  and  $Q$  are **bisimilar**, written  $P \sim Q$ , if there exists a bisimulation  $R$  with  $(P, Q) \in R$

$\text{coin}.\textcolor{red}{choc}.\mathbf{0} + \textcolor{red}{tea}.\mathbf{0}$  and  $\textcolor{blue}{coin}.\textcolor{red}{choc}.\mathbf{0} + \textcolor{blue}{coin}.\textcolor{red}{tea}.\mathbf{0}$  are not bisimilar

## Weak bisimulation

Abstract from internal  $\tau$  actions

Use  $\Rightarrow$  for zero-or-more  $\tau$  steps require matching visible moves modulo  $\tau$  sequences

Crucial for observational equivalence.

## Relations among equivalences

- strong bisimilarity implies trace equivalence
- weak bisimilarity is coarser than strong bisimilarity but finer than traces in many settings
- congruence properties: strong bisimilarity is a congruence for CCS

## A brief recap on LTSs

We have associated an LTS to an agent, and the paths in the LTS describe the behaviour of the agent (the traces)

we can take two LTSs to check whether they are bisimilar or not

## Again on LTS

let us have a closer look at LTS

states are anonymous

$T = (\mathcal{S}, \longrightarrow, \mathcal{L}, s_0)$  where

- $\mathcal{S}$  are the states
- $\mathcal{L}$  are the labels
- $\longrightarrow \subseteq \mathcal{S} \times \mathcal{L} \times \mathcal{S}$
- $s_0$  is the initial state

## Operations on LTS: restriction

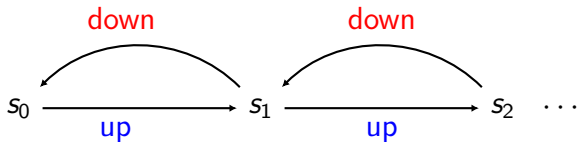
$$T = (\mathcal{S}, \rightarrow, \mathcal{L}, s_0)$$

the **restriction** of  $T$  to  $\mathcal{L}' \subseteq \mathcal{L}$  is

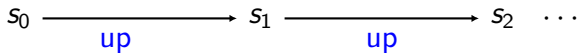
$$T \upharpoonright \mathcal{L}' = (\mathcal{S}, \rightarrow', \mathcal{L}', s_0) \text{ where } \rightarrow' = \{(s, \alpha, s') \mid s \xrightarrow{\alpha} s' \text{ and } \alpha \in \mathcal{L}'\}$$

**prune** the transition relation

## Example



restrict **down**



## Operations on LTS: relabelling

$$T = (\mathcal{S}, \rightarrow, \mathcal{L}, s_0)$$

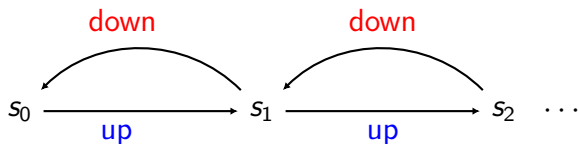
the relabeling of  $T$  to  $f : \mathcal{L} \rightarrow \mathcal{L}'$  is

$$T[f] = (\mathcal{S}, \rightarrow', \mathcal{L}', s_0) \text{ where } \rightarrow' = \{(a, f(\alpha), s') \mid s \xrightarrow{\alpha} s'\}$$

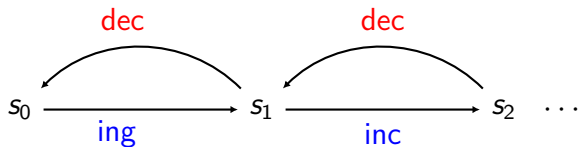
rename the transition relation



## Example



rename up with inc and down with dec



## Operations on LTS: product

$$T = (\mathcal{S}, \rightarrow, \mathcal{L}, s_0) \text{ and } T' = (\mathcal{S}', \rightarrow', \mathcal{L}', s'_0)$$

$$T \times T' = (\mathcal{S} \times \mathcal{S}', \rightarrow'', \mathcal{L} \times_* \mathcal{L}', (s_0, s'_0))$$

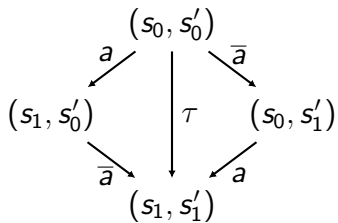
where

$$\mathcal{L} \times_* \mathcal{L}' = \mathcal{L} \cup \{*\} \times \mathcal{L}' \cup \{*\}$$

$(s_1, s'_1) \xrightarrow{(a,b)}'' (s_2, s'_2)$  iff  $s_1 \xrightarrow{a} s_2$  and  $s'_1 \xrightarrow{b}' s'_2$  with the convention that  $s \xrightarrow{*} s$  (and  $s \xrightarrow{*}' s$ )

the idea is that  $(a, \bar{a})$  is  $\tau$

## Example



$\tau$  is  $(a, \bar{a})$

## Operations on LTS: sum

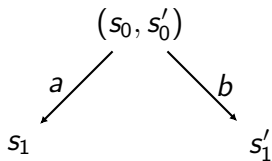
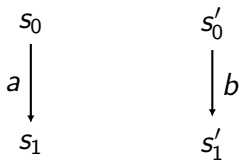
$$T = (\mathcal{S}, \rightarrow, \mathcal{L}, s_0) \text{ and } T' = (\mathcal{S}', \rightarrow', \mathcal{L}', s'_0)$$

$$T + T' = (\mathcal{S} \uplus \mathcal{S}' \cup \{(s_0, s'_0)\}, \rightarrow'', \mathcal{L} \uplus \mathcal{L}', (s_0, s'_0))$$

where

$s \xrightarrow{a}'' s'$  iff either  $s, s' \in \mathcal{S}$  and there exists  $s \xrightarrow{a} s$  or  $s, s' \in \mathcal{S}'$  and there exists  $s' \xrightarrow{b}' s'$  or if  $s = (s_0, s'_0)$  then there exists  $s_0 \xrightarrow{a} s'$  (hence  $s' \in \mathcal{S}$ ) or there exists  $s'_0 \xrightarrow{b}' s'$  (hence  $s' \in \mathcal{S}'$ )

## Example



## Operations on LTS: prefix

$$T = (\mathcal{S}, \rightarrow, \mathcal{L}, s_0)$$

$$\alpha.T = (\mathcal{S} \cup \{s_{in}\}, \rightarrow', \mathcal{L} \cup \{\alpha\}, s_{in})$$

where

$$s_{in} \xrightarrow{\alpha}' s_0 \text{ and } s \xrightarrow{\beta}' s' \text{ iff } s \xrightarrow{\beta} s$$

## Example

$$T = s_0 \xrightarrow{b} s_1$$

$$a.T = s \xrightarrow{a} s_0 \xrightarrow{b} s_1$$

## An ordering on LTS

$T = (\mathcal{S}, \rightarrow, \mathcal{L}, s_0)$  **precedes**  $T' = (\mathcal{S}', \rightarrow', \mathcal{L}', s'_0)$  written  $T \trianglelefteq T'$

- $\mathcal{S} \subseteq \mathcal{S}'$
- $s_0 = s'_0$
- $\mathcal{L} \subseteq \mathcal{L}'$
- $\rightarrow \subseteq \rightarrow'$

it is a **partial** order

if we take an  $\omega$ -chain  $T_0 \trianglelefteq T_1 \trianglelefteq T_2 \trianglelefteq \dots \trianglelefteq T_n \dots$  the least upper bound is

$$T = (\bigcup_{n \in \omega} \mathcal{S}_n, \bigcup_{n \in \omega} \rightarrow_n, \bigcup_{n \in \omega} \mathcal{L}_n, s_0)$$



## Operations on LTS

the operations relabelling, restriction, product, sum, prefixing are **continuous** operation (and their composition is)

the bottom is the **LTS**  $T = (\{s\}, \emptyset, \emptyset, s)$

$F$  is the continuous operator that define the actions we have to take

we have to calculate the **fix-point** of the operator  $F$

with Tran we indicate the **LTS**

# How do we use the operations?

we define a **denotational** semantics

we need an **environment**  $\delta : Var \rightarrow Tran$

# Semantics

Consider again the process algebra

$$P ::= \mathbf{0} \mid \alpha.P \mid P + Q \mid P \parallel Q \mid P \setminus L \mid P[f] \mid X \mid \text{rec } X P$$

the **denotations** are

$$\mathbf{T}[\mathbf{0}]\delta = (\{s\}, \emptyset, \emptyset, s)$$

$$\mathbf{T}[P + Q]\delta = \mathbf{T}[P]\delta + \mathbf{T}[Q]\delta$$

$$\mathbf{T}[\alpha.P]\delta = \alpha.\mathbf{T}[P]\delta$$

$$\mathbf{T}[P \parallel Q]\delta = \mathbf{T}[P]\delta \times \mathbf{T}[Q]\delta$$

$$\mathbf{T}[P \setminus L]\delta = \mathbf{T}[P]\delta \upharpoonright L$$

$$\mathbf{T}[X]\delta = \delta(X)$$

$$\mathbf{T}[P[f]]\delta = \mathbf{T}[P]\delta[f]$$

$$\mathbf{T}[\text{rec } X P]\delta = \text{fix}(\mathbf{T}[P]\delta[X/T])$$

# What we get?

the LTSs we construct are **acyclic**

they are almost **tree**

if we **unfold** them we get a **synchronisations tree**

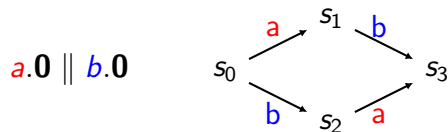
we do not have the possibility of observing **concurrency**

## A bit more on traces

up to now just **sequences** of actions  $\sigma$

we know that some actions are **independent** (concurrent)

the rather simple idea is that two independent actions can be **switched**



the two maximal traces are  $ab$  and  $ba$ , but  $a$  and  $b$  are **independent** and we would be able to point out this

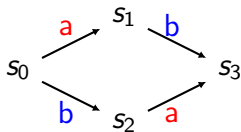
# Mazurkiewicz's traces

$(M, \mathcal{L}, I)$  where

- $\mathcal{L}$  is a set (**actions**)
- $I \subseteq \mathcal{L} \times \mathcal{L}$  is an irreflexive and **symmetric** relation (the **independence** relation)
- $M \subseteq \mathcal{L}^*$  such that
  - $\sigma a \in M \Rightarrow \sigma \in M$  for all  $\sigma \in \mathcal{L}^*$  and  $a \in \mathcal{L}$  (prefix closed)
  - $\sigma ab\sigma' \in M$  and  $a I b$  then  $\sigma ba\sigma' \in M$  for all  $\sigma, \sigma' \in \mathcal{L}^*$  and  $a, b \in \mathcal{L}$  (I-closed)
  - $\sigma a \in M, \sigma b \in M$  and  $a I b$  then  $\sigma ab \in M$  for all for all  $\sigma \in \mathcal{L}^*$  and  $a, b \in \mathcal{L}$

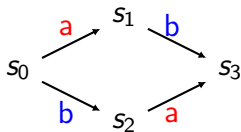
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$a.0 \parallel b.0$



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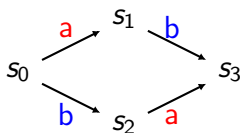


language:  $\{a, b, ab, ba\}$



## Mazurkiewicz's traces

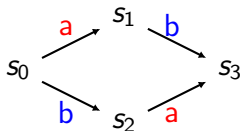
$a.0 \parallel b.0$



Mazurkiewicz's trace:  $(\{a, b, ab, ba\}, \{a, b\}, a / b)$

## Mazurkiewicz's traces

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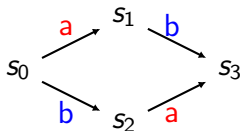


Mazurkiewicz's trace:  $(\{a, b, ab, ba\}, \{a, b\}, a \perp b)$

the point is that from the executions  $a$  and  $b$ , knowing that  $a$  and  $b$  are **independent**, we get  $ab$  and  $ba$

## Mazurkiewicz's traces

$a.0 \parallel b.0$



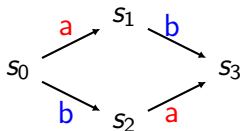
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define an equivalence  $\sigma ab\sigma' \simeq \sigma ba\sigma'$  when  $a \parallel b$ , and a **trace**  $\sigma$  is now an equivalence class  $[\sigma]_{\simeq}$

## Mazurkiewicz's traces

$a.0 \parallel b.0$



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the point is that from the executions  $a$  and  $b$ , knowing that  $a$  and  $b$  are **independent**, we get  $ab$  and  $ba$

define an equivalence  $\sigma ab\sigma' \simeq \sigma ba\sigma'$  when  $a \parallel b$ , and a **trace**  $\sigma$  is now an equivalence class  $[\sigma]_{\simeq}$

traces languages generalise languages (in the latter the independence relation is empty)

## Recall CCS again

presented in a slightly different way to avoid recursion

(Actions)  $\alpha ::= a \mid \bar{a} \mid \tau$

(CCS Processes)  $P, Q ::=^{\text{co}} \sum_{i \in I} \alpha_i.P_i \mid (P \parallel Q) \mid P \backslash a$

we omit relabelling and the sum is generalised, but it is essentially the same

the terms are defined coinductively

# The SOS rules

$$\frac{z \in I}{\sum_{i \in I} \alpha_i.P_i \xrightarrow{\alpha_z} P_z} \text{ (ACT)}$$

$$\frac{P \xrightarrow{\alpha} P'}{P \parallel Q \xrightarrow{\alpha} P' \parallel Q} \text{ (PAR-L)}$$

$$\frac{Q \xrightarrow{\alpha} Q'}{P \parallel Q \xrightarrow{\alpha} P \parallel Q'} \text{ (PAR-R)}$$

$$\frac{P \xrightarrow{\alpha} P' \quad Q \xrightarrow{\bar{\alpha}} Q'}{P \parallel Q \xrightarrow{\tau} P' \parallel Q'} \text{ (SYN)}$$

$$\frac{P \xrightarrow{\alpha} P' \quad \alpha \notin \{a, \bar{a}\}}{P \setminus a \xrightarrow{\alpha} P' \setminus a} \text{ (R-RES)}$$

we obtain the usual **LTS**

# Reversible CCS

we need to know what has been **done**

(CCS Processes)  $P, Q ::=^{\text{co}} \sum_{i \in I} \alpha_i.P_i \mid (P \parallel Q) \mid P \backslash a$

(RCCS Processes)  $R, S ::= m \triangleright P \mid (R \parallel S) \mid R \backslash a$

(Memories)  $m ::= \langle *, \alpha, Q \rangle \cdot m \mid \langle m, \alpha, Q \rangle \cdot m'$   
 $\mid \langle 1 \rangle \cdot m \mid \langle 2 \rangle \cdot m \mid \langle \rangle$

## Some rules we need

$$(\text{SPLIT}) \quad m \triangleright (P \parallel Q) \equiv \langle 1 \rangle \cdot m \triangleright P \parallel \langle 2 \rangle \cdot m \triangleright Q$$

$$(\text{RES}) \quad m \triangleright P \setminus a \equiv (m \triangleright P) \setminus a \quad \text{if } a \notin \text{fn}(m)$$

$$(\alpha) \quad R \equiv S \quad \text{if } R =_{\alpha} S$$



# Forward SOS rules

$$\frac{}{m \triangleright \sum_{i \in I} \alpha_i . P_i \xrightarrow{m:\alpha_z} \langle *, \alpha_z^z, \sum_{i \in I \setminus \{z\}} \alpha_i . P_i \rangle \cdot m \triangleright P_z}$$

$$\frac{R \xrightarrow{m:\alpha} R'}{R \parallel S \xrightarrow{m:\alpha} R' \parallel S}$$

$$\frac{S \xrightarrow{m:\alpha} S'}{R \parallel S \xrightarrow{m:\alpha} R \parallel S'}$$

$$\frac{R \xrightarrow{m_1:\alpha} R' \quad S \xrightarrow{m_2:\bar{\alpha}} S'}{R \parallel S \xrightarrow{m_1, m_2:\tau} R'_{m_2 \odot m_1} \parallel S'_{m_1 \odot m_2}}$$

$$\frac{R \xrightarrow{m:\alpha} R' \quad \alpha \notin \{a, \bar{a}\}}{R \setminus a \xrightarrow{m:\alpha} R' \setminus a}$$

$$\frac{R \equiv R' \quad R' \xrightarrow{m:\alpha} S' \quad S' \equiv S}{R \xrightarrow{m:\alpha} S}$$

# Backward SOS rules

$$\frac{}{\langle *, \alpha_z^z, \sum_{i \in I \setminus \{z\}} \alpha_i.P_i \rangle \cdot m \triangleright P_z \xrightarrow{m:\alpha_z} m \triangleright \sum_{i \in I} \alpha_i.P_i}$$

$$\frac{R \xrightarrow{m:\alpha} R'}{R \parallel S \xrightarrow{m:\alpha} R' \parallel S}$$

$$\frac{S \xrightarrow{m:\alpha} S'}{R \parallel S \xrightarrow{m:\alpha} R \parallel S'}$$

$$\frac{R \xrightarrow{m_1:\alpha} R' \quad S \xrightarrow{m_2:\bar{\alpha}} S'}{R_{m_2 @ m_1} \parallel S_{m_1 @ m_2} \xrightarrow{m_1, m_2:\tau} R' \parallel S'}$$

$$\frac{R \xrightarrow{m:\alpha} R' \quad \alpha \notin \{a, \bar{a}\}}{R \setminus a \xrightarrow{m:\alpha} R' \setminus a}$$

$$\frac{R \equiv R' \quad R' \xrightarrow{m:\alpha} S' \quad S' \equiv S}{R \xrightarrow{m:\alpha} S}$$

## Sum up

We have defined two relations:  $\rightarrow$  (for forward moves) and  $\rightsquigarrow$  (for backward moves)

the **LTS** is  $(\mathcal{Q}, \rightarrow \cup \rightsquigarrow, \mathcal{Act}, R)$

An RCCS process of the form  $\langle \rangle \triangleright P$  is referred to as **initial**

## Example

$$P = a.(b \parallel c) \parallel (\bar{a} \parallel d)$$

$$\langle \rangle \triangleright P \equiv (\langle 0 \rangle \cdot \langle \rangle \triangleright a.(b \parallel c)) \parallel (\langle 1 \rangle \cdot \langle \rangle \triangleright (\bar{a} \parallel d)) = R_1$$

$$R_1 \equiv \langle 0 \rangle \cdot \langle \rangle \triangleright a.(b \parallel c) \parallel \langle 0 \rangle \cdot \langle 1 \rangle \cdot \langle \rangle \triangleright \bar{a} \parallel \langle 1 \rangle \cdot \langle 1 \rangle \cdot \langle \rangle \triangleright d = R_2$$

$$R_2 \xrightarrow{m_1, m_2; \tau} \langle m_1, a, \mathbf{0} \rangle \cdot \langle 0 \rangle \cdot \langle \rangle \triangleright (b \parallel c) \parallel (\langle m_2, \bar{a}, \mathbf{0} \rangle \cdot \langle 0 \rangle \cdot \langle 1 \rangle \cdot \langle \rangle \triangleright \mathbf{0}) \parallel (\langle 1 \rangle \cdot \langle 1 \rangle \cdot \langle \rangle \triangleright d) = R_3$$

$$\begin{aligned} R_3 \equiv & (\langle 0 \rangle \cdot \langle m_1, a, \mathbf{0} \rangle \cdot \langle 0 \rangle \cdot \langle \rangle \triangleright b) \parallel (\langle 1 \rangle \cdot \langle m_1, a, \mathbf{0} \rangle \cdot \langle 0 \rangle \cdot \langle \rangle \triangleright c) \parallel \\ & (\langle m_2, \bar{a}, \mathbf{0} \rangle \cdot \langle 0 \rangle \cdot \langle 1 \rangle \cdot \langle \rangle \triangleright \mathbf{0}) \parallel (\langle 1 \rangle \cdot \langle 1 \rangle \cdot \langle \rangle \triangleright d) \end{aligned}$$

where  $m_1 = \langle 0 \rangle \cdot \langle \rangle$  and  $m_2 = \langle 1 \rangle \cdot \langle \rangle$ .

# Event Structures

# Event Structures

A quite general definition:

an **event structure** describes sequences of events that can be triggered by combinations of other events, with certain forbidden combinations of events

**events** are regarded as atomic **actions**

The main notion will be the one of **configuration**

# General Event Structures

$\mathcal{E} = (E, Con, \vdash)$  where

- $E$  is a set of **events**
- $Con \subseteq 2_{fin}^E$  is a **consistency** predicate such that if  $X \in Con$  and  $Y \subseteq X$  then  $Y \in Con$
- $\vdash \subseteq Con \times E$  is an **entail** relation such that if  $X \vdash e$  and  $X \subseteq Y$  then  $Y \vdash e$

The consistency predicate tells us which events can stay **together**, and the entail gives us a kind of **deduction**: establishing what is needed to add an event

# Configurations of General Event Structures

$\mathcal{E} = (E, Con, \vdash)$ , take  $x \subseteq E$

- $\forall X \subseteq_{fin} x$  it holds that  $X \in Con$  (**consistent**)
- $\forall e \in x. \exists e_0, \dots, e_n \in x. e_n = e \text{ and } \forall i \leq n, \{e_0, \dots, e_{i-1}\} \vdash e_i$   
(**secured**)

the idea is that one can extend the configuration adding one event

configurations are ordered by inclusion



# Nondeterminism or Conflict

$$\mathcal{E} = (\{e, e'\}, \{\emptyset, \{e\}, \{e'\}\}, \emptyset \vdash e, \emptyset \vdash e', \{e\} \vdash e, \{e'\} \vdash e')$$

has the following configurations:  $\emptyset$ ,  $\{e\}$  and  $\{e'\}$

$e$  and  $e'$  are in **conflict**

have the same proper entailment, **nondeterminism**

# Concurrency

$$\begin{aligned}\mathcal{E} = & (\{e, e'\}, \{\emptyset, \{e\}, \{e'\}, \{e, e'\}\}, \emptyset \vdash e, \emptyset \vdash e', \{e\} \vdash e, \\ & \{e'\} \vdash e', \{e\} \vdash e', \{e'\} \vdash e, \{e, e'\} \vdash e, \{e, e'\} \vdash e')\end{aligned}$$

has the following configurations:  $\emptyset$ ,  $\{e\}$ ,  $\{e'\}$  and  $\{e, e'\}$

$e$  and  $e'$  are in **concurrent**

## Kind of causality

$$\begin{aligned}\mathcal{E} = & (\{e, e', e''\}, \{\emptyset, \{e\}, \{e'\}, \{e''\}, \{e, e''\}, \{e', e''\}\} \\ & \emptyset \vdash e, \emptyset \vdash e', \{e\} \vdash e, \{e'\} \vdash e', \{e\} \vdash e'', \{e'\} \vdash e'', \dots)\end{aligned}$$

has the following configurations:  $\emptyset$ ,  $\{e\}$ ,  $\{e'\}$ ,  $\{e, e''\}$  and  $\{e', e''\}$

$e$  and  $e'$  are events and each of them **causes**  $e''$ , but not both together

which one? Observe that  $e''$  cannot happen alone

# Avoid ignoring the proper causes

stability:

$X \vdash e$  and  $Y \vdash e$  and  $X \cup Y \cup \{e\} \in \text{Con}$  implies  $X \cap Y \vdash e$

minimal set of **causes** for an event

$$\begin{aligned} \mathcal{E} = & (\{e, e', e''\}, \{\emptyset, \{e\}, \{e'\}, \{e''\}, \{e, e''\}, \{e', e''\}\}) \\ & (\emptyset \vdash e, \emptyset \vdash e', \{e\} \vdash e, \{e'\} \vdash e', \{e\} \vdash e'', \{e'\} \vdash e'', \dots) \end{aligned}$$

it is not stable:  $\{e\} \vdash e''$ ,  $\{e'\} \vdash e''$  but we do not have  $\{e, e', e''\}$   
and we do not have  $\{e\} \cap \{e'\} \vdash e''$

# Prime Event Structures

the  $\vdash$  is modelled via a **partial order** and the *Con* is induced by a binary irreflexive **conflict** relation

$$\mathcal{E} = (E, \leq, \#)$$

where

- $E$  is a set of events
- $\leq \subseteq E \times E$  is a **partial order** (**causality**)
- $\# \subseteq E \times E$  is a **symmetric** and **irreflexive** relation (**conflict**)  
inherited along the causality relation: if  $e \# e' \leq e''$  then  $e \# e''$

# Configurations

$$\mathcal{E} = (E, \leq, \#)$$

the consistency predicate is induced by the conflict relation: subsets of events  $X \subseteq E$  **conflict-free**  $\forall e, e' \in X. e \neq e' \text{ implies } \neg(e \# e')$

the **causality** relation gives the **entailment**:  $X \vdash e$  implies that  $\forall e' \leq e. e' \in X$

a **configuration** is a subset  $x \subseteq E$  which is **conflict-free** (i.e. consistent) and such that for each  $e \in x$  the set  $[e] = \{e' \mid e' \leq e\} \subseteq x$  (i.e. secured)

$x$  can be totally ordered coherently with  $\leq$  and this gives,  
 $\forall e \in x. \exists e_0, \dots, e_n \in x. e_n = e \text{ and } \forall i \leq n, \{e_0, \dots, e_{i-1}\} \vdash e_i$

# Configurations

are ordered by **inclusion**

they form a finitary algebraic **domain** (a Scott domain)

they have a nice and useful structure

concurrency is around: you can say that two events are **concurrent** when they are

- nor in **conclit**
- nor in **causally** related

# Bundle Event Structures

is **or** causality so **bad**?

$$\mathcal{E} = (E, \mapsto, \#)$$

the partial order is substituted with a new relation  $\mapsto$  which has and has a more operational flavour

$\mapsto \subseteq 2_{fin}^E \times E$  is the **enabling** relation such that if  $X \mapsto e$  then for all  $e_1, e_2 \in X$ .  $e_1 \neq e_2$  implies  $e_1 \# e_2$

for each  $e \in E$  the set  $\{X \subseteq E \mid X \mapsto e\}$  is **finite**



# Configurations

a configuration  $x$  is a subset of events such that

- it is **conflict free**
- there exists a **linearization**  $\{e_1, \dots, e_n, \dots\}$  of the events in  $x$  such that  $\forall e_i$  and for all bundles  $X_i \mapsto e_i$  it holds that  $X_i \cap \{e_1, \dots, e_{i-1}\} \neq \emptyset$

observe that bundles are subsets of events pairwise in conflict

being **secured** pop out again (it **suggests** the possible ordering in the executions)

# Or-causality

the general event structure

$$\begin{aligned}\mathcal{E} = & (\{e, e', e''\}, \{\emptyset, \{e\}, \{e'\}, \{e''\}, \{e, e'\}, \{e, e''\}, \{e', e''\}\}, \\ & \emptyset \vdash e, \emptyset \vdash e', \{e\} \vdash e, \{e'\} \vdash e', \{e\} \vdash e', \\ & \{e'\} \vdash e, \{e\} \vdash e'', \{e'\} \vdash e'', \dots)\end{aligned}$$

the bundle event structure

$$\mathcal{E} = (\{e, e', e''\}, \emptyset \mapsto e, \emptyset \mapsto e', \{e, e'\} \mapsto e'', e \# e')$$

## Event Structures: conflicts

the **conflict** can depend on the ordering

$e$  can be in conflict with  $e'$  only when  $e'$  happens **before**

asymmetric conflict

# Asymmetric Event Structures

$E = (E, <, \nearrow)$ , where

- $< \subseteq E \times E$  is an irreflexive partial order, called *causality*, defined such that  $\forall e \in E. [e] = \{e' \in E \mid e' \leq e\}$  is finite
- $\nearrow \subseteq E \times E$ , called *weak causality*, is defined such that for all  $e, e' \in E$ 
  - $e < e' \Rightarrow e \nearrow e'$
  - $\nearrow \cap ([e] \times [e])$  is acyclic
  - if  $e \# e'$  and  $e' < e''$  then  $e \# e''$ , where  $e \# e'$  iff  $e \nearrow e'$  and  $e' \nearrow e$

weak causality establishes an order, stating which event can happen before

# Configurations

$$\mathcal{E} = (E, <, \nearrow)$$

a configuration  $x \subseteq E$  is such that

- $\nearrow$  is well-founded on  $x$
- $\forall e \in x. [e] = \{e' \mid e' < e\} \subseteq x$
- $\forall e \in x$  the set  $\{e' \in x \mid e' \nearrow e\}$  is finite

well-founded implies conflict-free

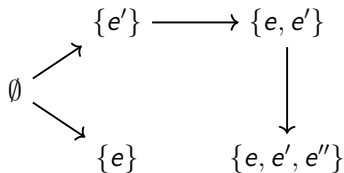
$x \sqsubseteq y$  ( $y$  **extends**  $x$ ) if  $x \subseteq y$  and  $\neg(e' \nearrow e)$  for all  $e \in x$  and  $e' \in y \setminus x$

configurations are partially ordered by  $\sqsubseteq$

## Example

$$\mathcal{E} = (\{e, e', e''\}, e < e'', e' < e'', e \nearrow e', e \nearrow e'', e' \nearrow e'')$$

the configurations are  $\emptyset$ ,  $\{e\}$ ,  $\{e'\}$ ,  $\{e, e'\}$  and  $\{e, e', e''\}$



you cannot go from  $\{e\}$  to  $\{e, e'\}$  because of  $e \nearrow e'$

# Flow Event Structures

$E = (E, \prec, \#)$ , where

- $E$  is a set of **events**
- $\prec \subseteq E \times E$  is an irreflexive relation called the **flow relation**
- $\# \subseteq E \times E$  is a symmetric **conflict relation**

The flow relation is the one representing the dependencies among events

the conflict relation is required to be just symmetric

# Configurations

$$E = (E, \prec, \#)$$

$x \subseteq E$  is a **configuration**

- conflict-free
- for each  $e \in x$ , for each  $e' \prec e$  either  $e' \in x$  or there exists  $e'' \in x$  such that  $e'' \prec e$  and  $e' \# e''$
- $\prec^*$  restricted to  $x$  is a partial order



## Other relevant causality/conflict notions?

an event can be **inhibited** for a while but not forever

the event  $e$  is disabled by  $e'$  and re-enabled by  $e''$

the conflicts may have a kind of **duration**

# Introducing a new relation

we introduce a new **ternary** relation  $\vdash^\circ \subseteq 2_1^E \times E \times 2^E$

- $E$  is a set of **events**
- $\vdash^\circ \subseteq 2_1^E \times E \times 2^E$  is called **disabling-enabling relation** and the intuition is:
  - if  $\vdash^\circ(\{e'\}, e, A)$  then the event  $e'$  inhibits the event  $e$
  - $e$  can be enabled again by one of the events in  $A$

**causality**:  $\vdash^\circ(\emptyset, e, A)$  means  $e$  depends on one of the events in  $A$

the **conflicts** implies that the first component is not empty:

$\vdash^\circ(\{e'\}, e, \emptyset)$  implies that  $e'$  cannot happen after  $e$

# Causality and conflicts

we define a general notion of **causality** ( $<$ ), **weak causality** ( $\nearrow$ ) and **conflict** ( $\#$ )

$\#_p A$  means that the events in  $A$  are pairwise in conflict (symmetric)

$$\frac{\vdash^o(\emptyset, e, A) \quad \#_p A}{A < e} (< 1)$$

$$\frac{A < e \quad \forall e' \in A. A_{e'} < e' \quad \#_p(\cup\{A_{e'} \mid e' \in A\})}{(\cup\{A_{e'} \mid e' \in A\}) < e} (< 2)$$

$$\frac{\vdash^o(\{e'\}, e, \emptyset)}{e \nearrow e'} (\nearrow 1)$$

$$\frac{e \in A < e'}{e \nearrow e'} (\nearrow 2)$$

$$\frac{\#\{e, e'\}}{e \nearrow e'} (\nearrow 3)$$

$$\frac{e_0 \nearrow \dots \nearrow e_n \nearrow e_0}{\#\{e_0, \dots, e_n\}} (\#1)$$

$$\frac{A' < e \quad \forall e' \in A'. \#(A \cup \{e'\})}{\#(A \cup \{e\})} (\#2)$$

# Inhibitor Event Structures

$\mathcal{E} = (E, \vdash^\circ)$  where, for all  $e \in E$ ,  $a \in 2_1^E$  and  $A \subseteq E$

- if  $\vdash^\circ(a, e, A)$  then  $\#_p A$  and  $\forall e' \in a. \forall e'' \in A. e' < e''$
- if  $A < e$  then  $\vdash^\circ(\emptyset, e, A)$
- if  $e \nearrow e'$  then  $\vdash^\circ(\{e'\}, e, \emptyset)$

the relation  $\vdash^\circ$  alone suffices

# Configurations

there is a characterisation similar to the ones in prime or asymmetric event structures (omitted here)

$x \subseteq E$  must be **acyclic** with respect to  $\nearrow$

an event  $e$  can be **added** to  $x$  if  $\forall \vdash^\circ(a, e, A)$  it holds that if  $a \subseteq x$  then  $A \cap x \neq \emptyset$

$x$  is **secured** with respect to the entailment defined by the relation  $\vdash^\circ$

## Example

$$\mathcal{E} = (\{e, e', e''\}, \vdash^\circ(\emptyset, e, \emptyset), \vdash^\circ(\emptyset, e', \{e\}), \vdash^\circ(\{e\}, e'', \{e'\}))$$

configurations are  $\emptyset$ ,  $\{e\}$ ,  $\{e''\}$ ,  $\{e, e''\}$ ,  $\{e, e'\}$  and  $\{e, e', e''\}$

the only one missing is  $\{e', e''\}$  as  $e'$  depends on  $e$

the ordering is such that from  $\{e\}$  one cannot add  $e''$  but from  $\{e, e'\}$  the event  $e''$  can be added

$e''$  is **inhibited** by  $e$  and re-enabled by  $e'$

enabling is not monotonic

# Comparing Event Structures

we can see when it is possible to **encode** one into another

each prime event structure is a bundle one:  $e \leq e'$  gives  $\{e\} \mapsto e'$   
but not the vice versa

in prime event structure the or-causality is forbidden

bundle can model or-causality

each prime even structure is also an asymmetric one (the conflict is modelled with the weak causality)

## Other kind of causality?

Causality pattern in service oriented computations may vary depending on conditions

to reason on these computations we need to model them



# Shrinking causality

**Shrinking** event structure: some causal relation may be **dropped**

$\gamma = (E, \rightarrow, \#, \triangleleft)$  where

- $(E, \rightarrow, \#)$  is a prime event structure and
- $\triangleleft \subseteq E \times E \times E$  is the **shrinking** relation s.t.

$$\forall e, e', e'' \in E. e' \triangleleft [e \rightarrow e''] \implies e \rightarrow e''$$

# Shrinking causality

**Shrinking** event structure: some causal relation may be **dropped**

$\gamma = (E, \rightarrow, \#, \triangleleft)$  where

- $(E, \rightarrow, \#)$  is a prime event structure and
- $\triangleleft \subseteq E \times E \times E$  is the **shrinking** relation s.t.  
 $\forall e, e', e'' \in E. e' \triangleleft [e \rightarrow e''] \implies e \rightarrow e''$

only **existing** dependencies can be dropped

**dropped** cause (w.r.to  $H$ ):  $dc(H, e) = \{e' \mid \exists d \in H. d \triangleleft [e' \rightarrow e]\}$

**trace**: sequence of events  $\rho = e_1, \dots, e_n$  such that

- $\overline{\rho} = \{e_1, \dots, e_n\}$  is conflict free
- for each  $i \leq n. ic(e_i) \setminus dc(\overline{\rho_{i-1}}, e_i) \subseteq \overline{\rho_{i-1}}$

# Shrinking causality

**Shrinking** event structure: some causal relation may be **dropped**

$\gamma = (E, \rightarrow, \#, \triangleleft)$  where

- $(E, \rightarrow, \#)$  is a prime event structure and
- $\triangleleft \subseteq E \times E \times E$  is the **shrinking** relation s.t.  
 $\forall e, e', e'' \in E. e' \triangleleft [e \rightarrow e''] \implies e \rightarrow e''$

$$[b \rightarrow c] \triangleleft a$$

**traces:**  $b, bc, a, ab, ac, abc$

in  $ac$  the dependency of  $c$  from  $b$  is **dropped** by  $a$

# Growing causality

Growing event structure: some causal relation may be added

$\gamma = (E, \rightarrow, \#, \blacktriangleright)$  where

- $(E, \rightarrow, \#)$  is a PES and
- $\blacktriangleright \subseteq E \times E \times E$  is the growing relation s.t.  
 $\forall e, e', e'' \in E. e' \blacktriangleright [e \rightarrow e''] \implies \neg(e \rightarrow e'')$

# Growing causality

**Growing** event structure: some causal relation may be **added**

$\gamma = (E, \rightarrow, \#, \blacktriangleright)$  where

- $(E, \rightarrow, \#)$  is a PES and
- $\blacktriangleright \subseteq E \times E \times E$  is the **growing** relation s.t.  
 $\forall e, e', e'' \in E. e' \blacktriangleright [e \rightarrow e''] \implies \neg(e \rightarrow e'')$

only **non existing** dependencies can be added

**growing** causes (w.r.to  $H$ ) ( $\text{ac}(H, e)$ ):  $\{e' \mid \exists d \in H. d \blacktriangleright [e' \rightarrow e]\}$

**trace**: sequence of events  $\rho = e_1, \dots, e_n$  such that

- $\overline{\rho} = \{e_1, \dots, e_n\}$  is conflict free
- for each  $i \leq n. \text{ic}(e_i) \cup \text{ac}(\overline{\rho_{i-1}}, e_i) \subseteq \overline{\rho_{i-1}}$

# Growing causality

**Growing** event structure: some causal relation may be **added**

$\gamma = (E, \rightarrow, \#, \blacktriangleright)$  where

- $(E, \rightarrow, \#)$  is a PES and
- $\blacktriangleright \subseteq E \times E \times E$  is the **growing** relation s.t.  
 $\forall e, e', e'' \in E. e' \blacktriangleright [e \rightarrow e''] \implies \neg(e \rightarrow e'')$

$$d \blacktriangleright [e \rightarrow f]$$

**traces:**  $e, f, d, de, ef, def, edf$

in **def** and **edf** the dependency of **f** from **e** is **added** by **d**

we do not have **dfe**

# Dynamic Event Structure

with **growing** and **shrinking** causality:

$$\Sigma = (E, \rightarrow, \#, \triangleleft, \blacktriangleright)$$

- $(E, \rightarrow, \#, \triangleleft)$  is a **shrinking** event structure
- $(E, \rightarrow, \#, \blacktriangleright)$  is a **growing** event structure, and
- $\forall e, e' \in E. \nexists m, a \in E. a \blacktriangleright [e \rightarrow e'] \triangleleft m$

the dependency  $e \rightarrow e'$  cannot be added and removed

**trace**: sequence of events  $\rho = e_1, \dots, e_n$  such that

- $\bar{\rho} = \{e_1, \dots, e_n\}$  is conflict free
- for each  $i \leq n. ((\text{ic}(e_i) \cup \text{ac}(\overline{\rho_{i-1}}, e_i)) \setminus \text{dc}(\overline{\rho_{i-1}}, e_i)) \subseteq \overline{\rho_{i-1}}$

# Representing dependencies

Just some examples of the relations proposed in literature



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Static:

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- with a partial order  $\leq$

# Representing dependencies

Just some examples of the relations proposed in literature

Static:

- with a **partial order**  $\leq$ :  $e \leq e'$

# Representing dependencies

Just some examples of the relations proposed in literature

Static:

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- with **modifiers**

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Dynamic:

- with an **enabling/disabling** relation  $\vdash^\circ$ :  $\vdash^\circ(\{e\}, e', A)$
- with **modifiers**:  $e \blacktriangleright [e'' \rightarrow e']$  or  $e \blacktriangleleft [e'' \rightarrow e']$

The variety of approaches is driven by the variety of situations to be modeled

# Configurations

a **key** concept is the one of **configuration**

a subset of events representing the **state** of the system

it contains all the information needed to establish when an event can be **added**

it can be used to compare various notions of dependency

the various relations representing dependencies act on configurations

# Context

The idea is that an **event** can be added if there is a **context** which justifies this

We introduce a new **relation**  $\gg \subseteq 2^A \times E$  where

- $A \subseteq 2_{fin}^E \times 2_{fin}^E$ , and
- $Z \gg e$  is such that  $Z \neq \emptyset$

the intuition is that if  $(X, Y) \in Z$  then  $X$  is the **context** and  $Y$  is the **authorizing** part

we call this relation **context dependent** relation (CD-relation)

## How to use the new relation

$$Z = \{(X_1, Y_1), \dots, (X_n, Y_n)\} \gg e$$

$$C_{XT}(Z) = \bigcup_{i=1}^{|Z|} X_i$$

Let  $C \subseteq E$  be a subset of events and  $e \notin C$  an event

$e$  is **enabled** at  $C$  ( $C[e]$ ) if for each  $Z \gg e$  there is a pair  $(X_i, Y_i) \in Z$  such that

- $C_{XT}(Z) \cap C = X_i$ , and
- $Y_i \subseteq C$

## Examples

Plain causality:  $a \leq b$

$$\emptyset \longrightarrow \{a\} \longrightarrow \{a, b\}$$

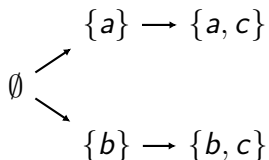
$$\{(\emptyset, \emptyset)\} \gg a$$

and

$$\{(\emptyset, \{a\})\} \gg b \quad \text{or} \quad \{(\{a\}, \emptyset)\} \gg b$$

## Examples

Or causality:  $\{a, b\} \vdash c$



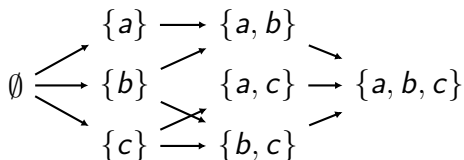
$$\{(\emptyset, \emptyset)\} \gg a, \quad \{(\emptyset, \emptyset)\} \gg b$$

and

$$\{(\{a\}, \emptyset), (\{b\}, \emptyset)\} \gg c$$

# Examples

Growing causality:  $a \blacktriangleright [b \rightarrow c]$



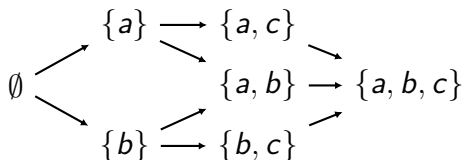
$\{(\emptyset, \emptyset)\} \gg a$ ,  $\{(\emptyset, \emptyset)\} \gg b$  and

$\{(\{a\}, \{b\}), (\emptyset, \emptyset)\} \gg c$



## Examples

Shrinking causality:  $a \triangleleft [b \rightarrow c]$

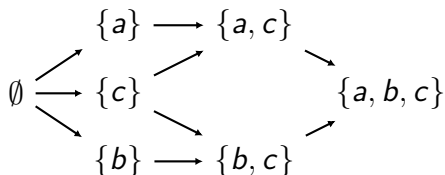


$\{(\emptyset, \emptyset)\} \gg a, \quad \{(\emptyset, \emptyset)\} \gg b \quad \text{and}$

$\{(\{a\}, \emptyset), (\{b\}, \emptyset), (\{a, b\}, \emptyset)\} \gg c$

## Examples

Resolving conflicts:  $\emptyset \vdash X$ , with  $X \subseteq \{a, b, c\}$  and  $X \neq \{a, b\}$  and  $\{c\} \vdash \{a, b\}$

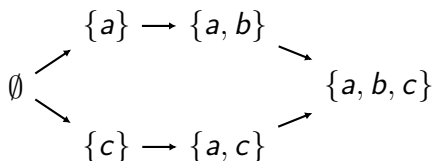


$\{(\emptyset, \emptyset), (\{c\}, \emptyset), (\{b\}, \{c\})\} \gg a$ ,  $\{(\emptyset, \emptyset), (\{c\}, \emptyset), (\{a\}, \{c\})\} \gg b$

and  $\{(\emptyset, \emptyset)\} \gg c$

# Examples

inhibition:  $\vdash_{\circ} (\{a\}, c, \{b\})$



$\{(\emptyset, \emptyset)\} \gg a,$        $\{(\emptyset, \{a\})\} \gg b$       and

$\{(\emptyset, \emptyset), (\{a\}, \{b\})\} \gg c$

## Context-dependent event structure: **cdes**

$\mathcal{E} = (E, \#, \gg)$  where

- $E$  is a set of *events*
- $\# \subseteq E \times E$  is an irreflexive and symmetric relation, called *conflict relation*
- $\gg \subseteq 2^A \times E$ , where  $A \subseteq 2_{fin}^E \times 2_{fin}^E$ , is the *context-dependency relation* (CD-relation)

Each element of the CD-relation  $\gg$  is called *entry*.

## Configuration of a cdes

$C$  be a subset of  $E$  is a **configuration** iff there exists a sequence of distinct events  $\rho = e_1 \cdots e_n \cdots$  over  $E$  such that

- $\bar{\rho} = C$ ,
- $\bar{\rho}$  is conflict-free, and
- $\forall i. 1 \leq i \leq \text{len}(\rho). \bar{\rho}_{i-1}[e_i]$

## Some consideration on this new relation

It is **faithful** in representing the conditions under which an event happens

It is rather **liberal**

The same **context** may be represented in several ways

It can **generalized** easily

- enabling of subset of events,
- more structure in the contexts (corresponding to more structure in configurations)

# Configuration of a $\mu$ event structure

the notion depends on the kind ( $\mu$ ) of event structure

we do not review them

we denote the set of configurations of an  $\mu$ -event structure  $X$  with  $\text{Conf}_{\mu}(X)$

$\mapsto_{\mu} \subseteq \text{Conf}_{\mu}(X) \times \text{Conf}_{\mu}(X)$  is the relation describing how to reach a configuration from another

# Comparing event structures

We use **event automaton**

$E = \langle E, S, \mapsto, s_0 \rangle$  such that

- $S \subseteq 2^E$ , and
- $\mapsto \subseteq S \times S$  is such that  $s \mapsto s'$  implies that  $s \subset s'$
- $s_0 \in S$  is the initial state

states can be enriched with other information



# Comparing event structures

for each **kind** of event structure we have

- **configurations** and
- a way to **reach** a configuration from another

these two ingredients form an **event automaton**:

Take  $X$  be an event structure of kind  $\mu$  over the set of events  $E$

$\mathcal{G}_\mu(X) = \langle E, \text{Conf}_\mu(X), \mapsto_\mu, \emptyset \rangle$  is an event automaton

it is enough to compare the corresponding event automata

## From event automata to cdes

Fix an event automaton  $E = \langle E, S, \mapsto, s_0 \rangle$

$e \#_{\text{ea}} e'$  iff for each  $s \in S$ .  $\{e, e'\} \not\subseteq s$

$\mathcal{C}(E, e) = \{s \in S \mid s \cup \{e\} \in S \wedge s \mapsto s \cup \{e\}\}$  are the **allowing** contexts

$\mathcal{J}(E, e) = \{s \in S \mid s \cup \{e\} \notin S\}$  are the **negative** contexts

$E = \langle E, S, \mapsto, s_0 \rangle$  be an ea. Then  $\mathcal{F}_{\text{ea}}(E) = (E, \#, \gg)$  is a CDES, where for each  $e \in E$  we have

$$\{(X, \emptyset) \mid X \in \mathcal{C}(E, e)\} \cup \{(X, \{e\}) \mid X \in \mathcal{J}(E, e)\} \gg e$$

Furthermore  $E \equiv \mathcal{G}_{\text{CDES}}(\mathcal{F}_{\text{ea}}(E))$ .

# Petri Nets

# Petri nets

A net is the tuple  $(S, T, F, m_0)$  where

- $S$  is a set of **places** and  $T$  is a set of **transitions** ( $S \cap T = \emptyset$ )
- $F = (F_{pre}, F_{post})$  is a pair of finitary **multirelation** from places to transitions (the **flow** relation... each arc has a **weight**)
- $m_0$  is a multiset over places, the **initial marking**

$(S, T, F, m_0)$  may be seen as a **bipartite** graph (no arc connect a transition to a transition or a place to a place)

the multiset of transition  $A$  is **enabled** at the marking  $m$  if

$$F_{pre}(-, A) \subseteq m \quad A \text{ is the tensor of transitions}$$

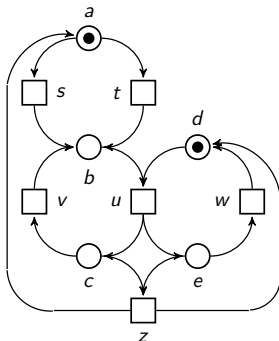
when the multiset  $A$  is **fired** at  $m$  a new marking is produced:

$$m' = m - F_{pre}(-, A) + F_{post}(-, A) \quad \text{notation } m[A] m'$$

# Petri nets

great success because of:

- graphical notation for nets:



- nice algebra ( $S$  and  $T$  invariants, **siphons** and **traps**) to prove properties of the modeled systems
- extendable (adding times, probabilities, firing under conditions...)

# What were Petri's ideas

Petri's PhD thesis is entitled **Kommunikation mit Automaten** and (a part of) the abstract was:

*This work is about the comprehensible foundations of a theory of communication...*

in the view of Petri nets as rewrite systems this aspect is somehow forgotten

In Petri's view a net is still the tuple we saw, but the focus is on

- **distributed state** (places reside on different spaces)
- independence of many activities: **concurrency** and **locality** of evolution
- **exchange** of informations (tokens) and **synchronization**

Petri focussed on physical aspects, not only *mathematical* ones

# Petri nets and logic

like all kind of automata the relations are obvious...

but Petri was in search of this foundation theory

the theory must face dependencies, conflicts and concurrency among transitions

the executions of a net may be represented as a net itself (Petri's motto: the semantics of a net is a net)

the dependencies among events should lay on a line (chain)

the state of a system is what is produced by events on compatible lines: a maximal antichain

$F$  should have values in  $\{0, 1\}$ ,  $F_{pre}(s, t)$  means there is an arc from  $s$  to  $t$ ,  $F_{post}(s, t)$  means there is an arc from  $t$  to  $s$ ,

the net should be acyclic

## Semantics as deduction: causal net

$F$  is a relation (over  $S \times T$  and  $T \times S$ )

$$\bullet x = \{y \mid F(y, x)\} \text{ and } x\bullet = \{y \mid F(x, y)\}$$

A *causal net*  $C = (B, E, F, m)$  is a safe net satisfying the following restrictions:

- $\forall b \in m, \bullet b = \emptyset,$
- $\forall b \in B. \exists b' \in m$  such that  $b' \leq_c b,$
- $\forall b \in B. \bullet b$  is either empty or a singleton,
- for all  $e \in E$  the set  $\{e' \in E \mid e' \leq_c e\}$  is finite, and
- $\#$  is an irreflexive and symmetric relation defined as follows:
  - $e \#_r e'$  iff  $e, e' \in E, e \neq e'$  and  $\bullet e \cap \bullet e' \neq \emptyset,$
  - $x \# x'$  iff  $\exists y, y' \in E$  such that  $y \#_r y'$  and  $y \leq_c x$  and  $y' \leq_c x'.$



## Semantics as deduction: causal net

An **information system** (IS) is a triple  $(A, Con, \vdash)$  where  $Con = \{X \subseteq A \mid X \text{ is finite}\}$  is a **consistency** predicate and  $\vdash \subseteq Con \setminus \emptyset \times A$  is an **entailment** relation such that

- $X \subseteq Y \in Con$  implies  $X \in Con$
- $\forall a \in A. \{a\} \in Con$
- $X \vdash a$  implies  $X \cup \{a\} \in Con$
- $a \in X \in Con$  implies  $X \vdash a$
- $X, Y \in Con, \forall b \in Y. X \vdash b$  and  $Y \vdash c$  then  $X \vdash c$

the **elements** of an IS are the subsets  $\mathcal{A}$  of  $A$  that are **finitely consistent** (each finite subset of  $\mathcal{A}$  is in  $Con$ ) and **deductively closed** (if  $X \vdash a$  and  $X \subseteq \mathcal{A}$  then  $a \in \mathcal{A}$ )

## Semantics as deduction: causal net

the elements of an information system ordered by inclusion are a  
Scott domain

continuous functions on Scott domains have a fixed point

it says how to effectively compute as approximations of increasing  
amount of information

## Semantics as deduction: causal net

We start from a causal net  $C = (B, E, F, m)$

Define:  $Con$  as  $\{X \subseteq_{fin} E \mid \forall e, e' \in X \neg(e \# e')\}$

Define:  $\lfloor e \rfloor = \{e' \in E \mid e' \leq_C e\}$

Define:  $X \vdash' e$  iff  $X \in Con$  and  $\lfloor e \rfloor \subseteq X$  and **saturate** it adding  
 $X \vdash e$  iff  $e \in X$

We have that  $(E, Con, \vdash)$  is an **information system**

# Petri nets as models of logic

Petri nets explicitly manipulate resources

resource aware logics like linear logic have strong relationships with Petri nets

the idea is the usual one: tokens are propositions, transitions are deductions, and the structure obtained when a net correspond to a linear logic theory is that the causal net is not only a Scott domain but also a quantale

# Priorities

The **liberty** in deciding which transitions may be executed at a given **distributed** state is reduced by imposing ordering in the firing of transitions

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the implication “if  $m[t] m'$  and  $m \subseteq m''$  then there exists a marking  $m'''$  such that  $m''[t] m'''$ ” does not hold in general as at  $m''$  there may be another transition to execute first

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but to decide which transition I have to fire I have to check the entire space of possibilities: the locality of changes is somehow lost

## Priorities and inhibitor arcs

The capability of checking for **absence** of tokens in given places add **expressivity** to Petri nets



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A net is now  $(S, T, F, I, m_0)$  where  $I \subseteq S \times T$  and the enabling at the marking  $m$  is changed: to fire  $t$  the place  $s$  such that  $(s, t) \in I$  must be empty, i.e.  $m(s) = 0$

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the usual implication does not hold

with inhibitor arcs you may simulate a two counter machine

## Reset arcs

usually the number of tokens consumed from a given place is **fixed** and **independent** from the marking

**reset** arcs have the capability of emptying the places they are connected to:

A net is now  $(S, T, F, R, m_0)$  where  $R \subseteq S \times T$ , the enabling at the marking  $m$  is not changed but the firing of  $t$  the place  $s$  such that  $(s, t) \in R$  is **emptied**, i.e.  $m'(s) = 0$ , where  $m'$  is the reached marking

what is changed is the **uniformity** of changes that is relevant in Petri nets

reset arcs add expressivity to Petri nets

## Lending arcs

transitions are executed only if the resources are **available** (in places)

relax this requirement: transitions may fire even if some resources are not available (in a controlled way)

resources may be taken on **credit**, and technically this is done creating **debit** tokens

A net is now  $(S, T, F, L, m_0)$  where  $L: S \times T \rightarrow \mathbb{N}$ , the enabling at the marking  $m$  is not changed but the firing of  $t$  causes changes also in the place  $s$  such that  $L(s, t) > 0$ , as if tokens are available, then they are used, otherwise the proper number of debit tokens is **created** in that place

when a token is produced in a place with a debit token, both are **annihilated**

## Lending arcs

with lending arcs inhibitor arcs may be simulated

Lending Petri nets have been used as a model for a logic dealing with contracts

marking reachability equivalent to provability in that logic

## Maximal firing rule

If **all** the enabled transitions (with the proper multiplicity) are **executed together** at a given marking then Petri nets are again Turing powerful

## Recap

to increase expressivity either add new kind of arcs or modify dramatically the firing rule

**new arcs**: the kind of automata are different, **firing rule** the idea of distributed space is forgotten

Petri's idea of communicating automata is **somehow** lost

Apparently adding **expressivity** means **departing** from the initial intuitions

can we obtain some add expressivity without departing **too much**?



# Back to languages

- How to equip (reversible) process calculi with a truly concurrent semantics
- Usually the semantics is provided in term of labelled transitions systems
- In the 90ies a lot of work has been done to provide a non sequential semantics to process calculi
- we figure out to apply these works to the reversible setting

# The 90ies

Various approach to give a truly concurrent semantics to CCS.  
Mainly in terms of

- suitable **nets** (e.g. occurrence, flow, ...)
- suitable **event structures** (e.g. prime, bundle, ...)

often exploiting the relationships among these models

## What about (reversible) (R)CCS

(Actions)  $\alpha ::= a \mid \bar{a} \mid \tau$

(CCS Processes)  $P, Q ::=^{\text{co}} \sum_{i \in I} \alpha_i.P_i \mid (P \parallel Q) \mid P \setminus a$

the coinductive definition gives us **recursion**

(RCCS Processes)  $R, S ::= m \triangleright P \mid (R \parallel S) \mid R \setminus a$

(Memories)  $m ::= \langle *, \alpha, Q \rangle \cdot m \mid \langle m, \alpha, Q \rangle \cdot m' \mid$   
 $\langle 1 \rangle \cdot m \mid \langle 2 \rangle \cdot m \mid \langle \rangle$

## Interleaving semantics

$\mathcal{M}$  are the possible memories,  $\hat{\mathcal{M}} = \mathcal{M} \cup \mathcal{M}^2$  and  $\mathcal{P}_R$  are the RCCS processes

As usual defined by a set of rules (forward and backward)

$$(\mathcal{P}_R, \hat{\mathcal{M}} \times \text{Act}_\tau, \rightarrow)$$

$$(\mathcal{P}_R, \hat{\mathcal{M}} \times \text{Act}_\tau, \rightsquigarrow)$$

and

$$(\mathcal{P}_R, \hat{\mathcal{M}} \times \text{Act}_\tau, \rightarrow \cup \rightsquigarrow)$$

# Unravel net

The non sequential semantics is defined using **unravel nets**

$N = \langle S, T, F, m \rangle$  **causal net** (CN) when

- $\forall s \in S. |\bullet s| \leq 1$  and  $|s\bullet| \leq 1$ ,
- $F^*$  is acyclic,
- $\forall s \in S \ \bullet s = \emptyset \Rightarrow m(s) = 1$  and
- each transition is executable

$N = \langle S, T, F, m \rangle$  **unravel net** (UN) when

- for each execution  $X \in \text{St}(N)$  the subnet  $N|_X$  is a CN, and
- $\forall t, t' \in T. \bullet t = \bullet t' \wedge t\bullet = t'\bullet \Rightarrow t = t'$ .

In UNs places may have more than one incoming arc

## Keeping track of executed transitions

we have to keep track of the executed transitions, and the marking does not suffice

It is an UN but we do not know which transition has been executed!

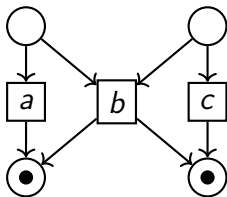
**complete** UN  $N = \langle S, T, F, m \rangle$  when

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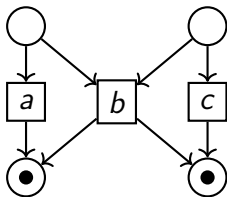
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$\mathcal{K}_T$  are the *key-places* and  $|\mathcal{K}_T| = |T|$



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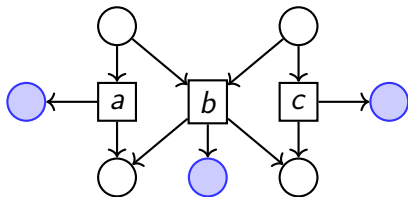
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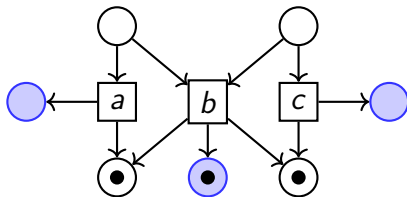
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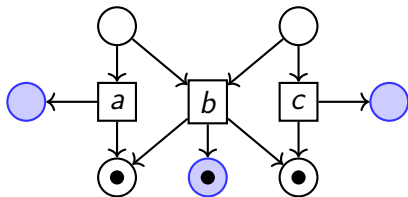
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$\mathcal{K}_T$  are used to reverse

# Reversible Unravel net

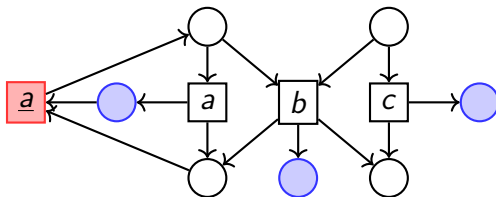
reversible UN (rCN)  $N = \langle S, T, U, F, m \rangle$  when

- $U \subseteq T$  and  $\forall u \in U. \exists! t \in T \setminus U$  such that  $\bullet u = t^\bullet$  and  $u^\bullet = \bullet t$ , and
- $N|_{T \setminus U}$  is a complete unravel net and  $\langle S, T, F, m \rangle$  is a safe one.

# Reversible Unravel net

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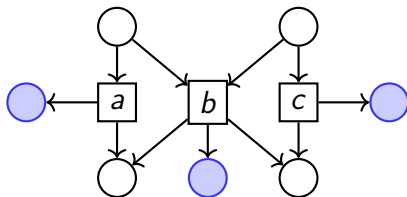
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# Reversible Unravel net

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- $N|_{T \setminus U}$  is a complete unravel net and  $\langle S, T, F, m \rangle$  is a safe one.



## From UN to rcn

Just add to an UN all the reversing transitions  
already done for occurrence nets (unfoldings)  
nothing really new, beside the use of **key** places

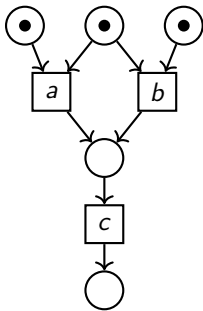


## From UN to rcn

what we do is adding the reverse transition for each forward one:

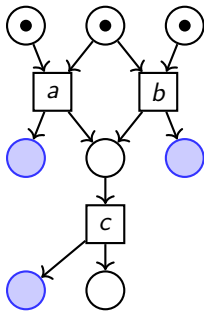
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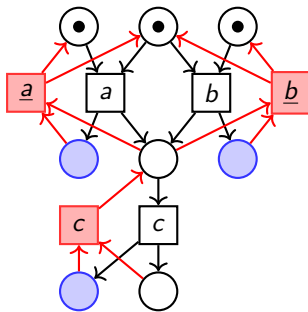
## From UN to rcn

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## From UN to rcn

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## The encoding of $\mathbf{0}$

The net  $\mathcal{N}(\mathbf{0}) = \langle \{\mathbf{0}\}, \emptyset, \emptyset, \{\mathbf{0}\} \rangle$  is the net associated to  $\mathbf{0}$  and it is called *zero*



## The encoding of $\alpha.P$

Start with  $\mathcal{N}(P) = \langle S_P, T_P, F_P, m_P \rangle$

$\mathcal{N}(\alpha.P)$  is  $\langle S_{\alpha.P}, T_{\alpha.P}, F_{\alpha.P}, m_{\alpha.P} \rangle$  where

$$S_{\alpha.P} = \{\alpha.P, \hat{\alpha}.\underline{\alpha}\} \cup \hat{\alpha}.S_P$$

$$T_{\alpha.P} = \{\alpha\} \cup \hat{\alpha}.T_P$$

$$F_{\alpha.P} = \{(\alpha.P, \alpha), (\alpha, \hat{\alpha}.\underline{\alpha})\} \cup \{(\alpha, \hat{\alpha}.b) \mid b \in m_P\} \cup \hat{\alpha}.F_P$$

$$m_{\alpha.P} = \{\alpha.P\}$$

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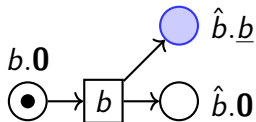
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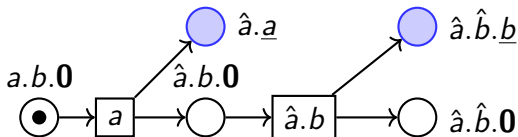
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$$m_{\alpha.P} = \{\alpha.P\}$$



when  $P$  is  $b.0$



## The encoding of $\sum_{i \in I} \alpha_i . P_i$

Given  $\mathcal{N}(P_i)$  we get  $+_{i \in I} P_i = \langle S_{+_{i \in I} P_i}, T_{+_{i \in I} P_i}, F_{+_{i \in I} P_i}, m_{+_{i \in I} P_i} \rangle$

$$S_{+_{i \in I} P_i} = \cup_{i \in I} +_i S_{P_i}$$

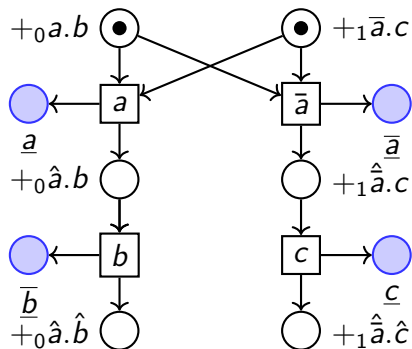
$$T_{+_{i \in I} P_i} = \cup_{i \in I} +_i T_{P_i}$$

$$F_{+_{i \in I} P_i} = \{ (+_i x, +_i y) \mid (x, y) \in F_{P_i} \} \\ \cup \{ (+_j s, +_i t) \mid s \in m_{P_j} \wedge \bullet t \in m_{P_i} \wedge i \neq j \}$$

$$m_{+_{i \in I} P_i} = \cup_{i \in I} +_i m_{P_i}.$$

## The encoding of $\sum_{i \in I} \alpha_i \cdot P_i$

Given  $\mathcal{N}(P_i)$  we get  $+_{i \in I} P_i = \langle S_{+_{i \in I} P_i}, T_{+_{i \in I} P_i}, F_{+_{i \in I} P_i}, m_{+_{i \in I} P_i} \rangle$



# The encoding of $P \parallel Q$

Given  $\mathcal{N}(P_1)$  and  $\mathcal{N}(P_2)$  we get

$$\mathcal{N}(P_1 \parallel P_2) = \langle S_{P_1 \parallel P_2}, T_{P_1 \parallel P_2}, F_{P_1 \parallel P_2}, m_{P_1 \parallel P_2} \rangle$$

$$S_{P_1 \parallel P_2} = \parallel_0 S_{P_1} \cup \parallel_1 S_{P_2} \cup \{s_{\{t, t'\}} \mid t \in T_{P_1} \wedge t' \in T_{P_2} \wedge \overline{\ell(t)} = \ell(t')\}$$

$$T_{P_1 \parallel P_2} = \parallel_0 T_{P_1} \cup \parallel_1 T_{P_2} \cup \{\{t, t'\} \mid t \in T_{P_1} \wedge t' \in T_{P_2} \wedge \overline{\ell(t)} = \ell(t')\}$$

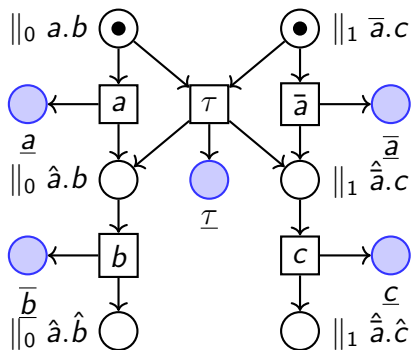
$$\begin{aligned} F_{P_1 \parallel P_2} = & \parallel_0 F_{P_1} \cup \parallel_1 F_{P_2} \cup \{(\{t, t'\}, s_{\{t, t'\}}) \mid t \in T_{P_1} \wedge t' \in T_{P_2} \wedge \overline{\ell(t)} = \ell(t')\} \\ & \cup \{(\parallel_i s, \{t_1, t_2\}) \mid (s, t_i) \in F_{P_i}\} \\ & \cup \{(\{t_1, t_2\}, \parallel_i s) \mid (t_i, s) \in F_{P_i} \wedge s \notin \mathcal{K}_{T_{P_i}}\} \end{aligned}$$

$$m_{P_1 \parallel P_2} = \parallel_0 m_{P_0} \cup \parallel_1 m_{P_1}$$

# The encoding of $P \parallel Q$

Given  $\mathcal{N}(P_1)$  and  $\mathcal{N}(P_2)$  we get

$$\mathcal{N}(P_1 \parallel P_2) = \langle S_{P_1 \parallel P_2}, T_{P_1 \parallel P_2}, F_{P_1 \parallel P_2}, m_{P_1 \parallel P_2} \rangle$$



## Next steps

Given a complete UN  $N = \langle S, T, F, m \rangle$  and a set of transition to be reversed  $U \subseteq T$ , we construct  $\overleftarrow{N} = \langle S', T', U', F', m' \rangle$

- $S = S'$ ,
- $U' = U \times \{r\}$ ,  $T' = (T \times \{f\}) \cup U'$ ,
- $F' = \{(s, (t, f)) \mid (s, t) \in F\} \cup \{((t, f), s) \mid (t, s) \in F\} \cup \{(s, (t, r)) \mid (t, s) \in F\} \cup \{((t, r), s) \mid (s, t) \in F\}$   
and
- $m' = m$

$\overleftarrow{N}$  is an rCN

## Next steps

Start with a coherent RCCS process  $R$ , we calculate the **ancestor**  $\rho(R)$

$$\langle -, \alpha_z^z, \sum_{i \in I \setminus \{z\}} \alpha_i.P_i \rangle \cdot m \triangleright P \rightarrow m \triangleright \sum_{i \in I} \alpha_i.P_i \quad (\text{ACT})$$

$$\frac{R \preceq R' \quad R' \rightarrow S' \quad S' \preceq S}{R \rightarrow S} \quad (\text{PRE}) \qquad \frac{R \rightarrow R'}{R \parallel S \rightarrow R' \parallel S} \quad (\text{PAR})$$

$$\frac{R \rightarrow R'}{R \setminus a \rightarrow R' \setminus a} \quad (\text{RES})$$

$$\frac{R \rightarrow^* \langle \rangle \triangleright P}{\rho(R) = P} \quad (\text{INIT})$$

## Next steps

We have to calculate the marking, and we use the memories, using a mapping  $\mu(R)$

$$\mu(R \parallel S) = \mu(R) \bowtie \mu(S) \quad \mu(R \setminus a) = \setminus_a \mu(R)$$

$$\mu(m \cdot \alpha^i m_1 \mathbf{0} \cdot \langle \rangle \triangleright P) = \{\alpha, m_1\} \cup \hat{\alpha}.\mu(m \cdot \langle \rangle \triangleright P)$$

$$\mu(m \cdot \alpha^i m_1 Q \cdot \langle \rangle \triangleright P) = \{+_i \alpha, m_1\} \cup +_i \hat{\alpha}.\mu(m \cdot \langle \rangle \triangleright P)$$

$$\mu(m \cdot \alpha^i * \mathbf{0} \cdot \langle \rangle \triangleright P) = \{\hat{\alpha}.\underline{\alpha}\} \cup \hat{\alpha}.\mu(m \cdot \langle \rangle \triangleright P)$$

$$\mu(m \cdot \alpha^i * Q \cdot \langle \rangle \triangleright P) = \{+_i \hat{\alpha}.\underline{\alpha}\} \cup +_i \hat{\alpha}.\mu(m \cdot \langle \rangle \triangleright P)$$

$$\mu(m \cdot \langle i \rangle \cdot \langle \rangle \triangleright P) = \parallel_{i-1} \mu(m \cdot \langle \rangle \triangleright P)$$

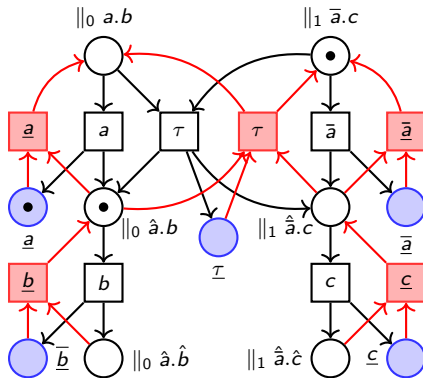
$$\mu(\langle \rangle \triangleright P) = \{P\}$$

## Next steps

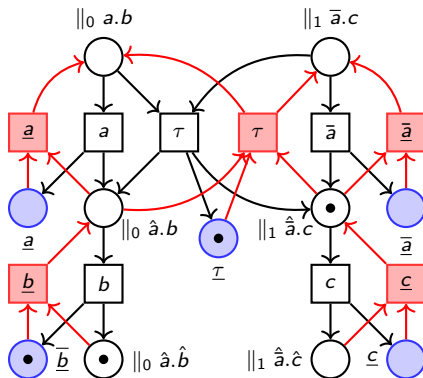
Consider  $R$  with  $\rho(R) = P$ . Then  $\overleftarrow{\mathcal{N}(R)}$  is the net  $\langle S, T, F, \mu(R) \rangle$  where  $\mathcal{N}(P) = \langle S, T, F, m \rangle$ .



# Examples



# Examples



## The main result

$$\langle \rangle \triangleright P \sim \overleftarrow{\mathcal{N}(P)}$$

# Event structure and reversibility

some events  $F \subseteq E$  are **reversible** and their **removal** is subject to some relations:  $\prec$  (**reverse causality**) and  $\triangleleft$  (**prevention**)

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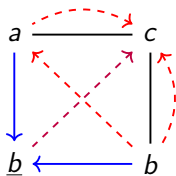
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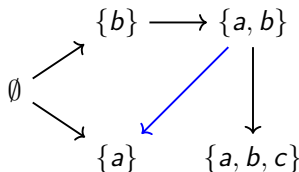
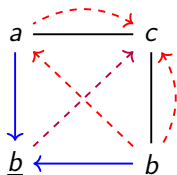


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## Summing up

the **forward** relations describe how events are added to a configuration (**forward flow**)

the **backward** relations describe how events are removed from a configuration (**backward flow**)

# PN

suitable classes of **Petri nets** are used as a model for concurrent systems

# PN

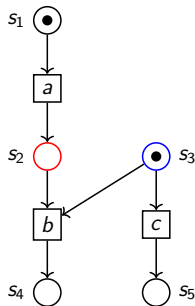
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dependencies among **transitions** are modeled using **shared** places

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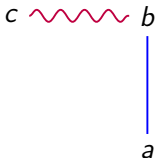
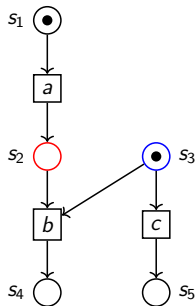


$s_2$  says that  $b$  depends on  $a$  and  $s_3$  says that  $b$  and  $c$  are in conflict

# PN

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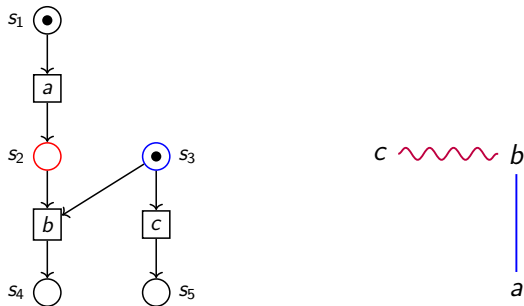


A correspondence between the net and the prime event structures is established and well known

# PN

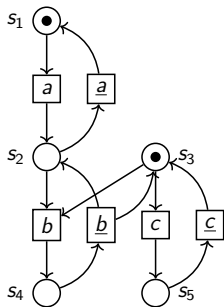
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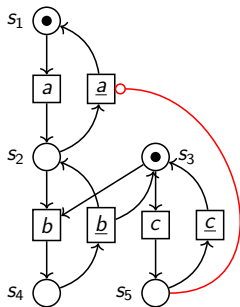
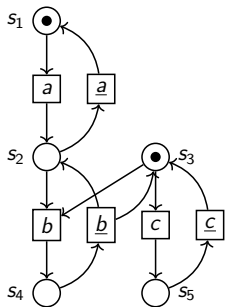
We describe perfectly the **forward** flow

## PN: the first intuition on reversing transitions

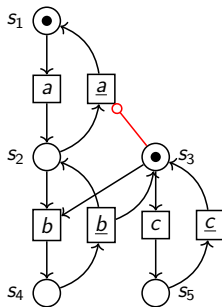


On occurrence nets add the reversing transitions

# PN: modeling other backward dependencies



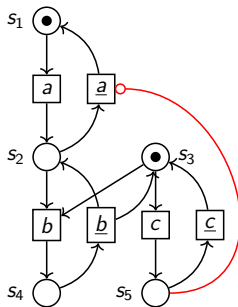
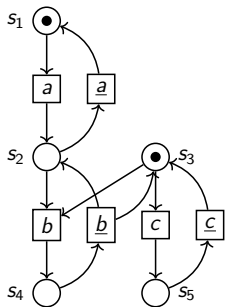
$\underline{a} \triangleleft c$



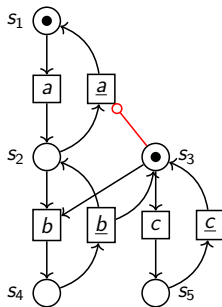
$c \prec \underline{a}$



# PN: modeling other backward dependencies



$\underline{a} \triangleleft c$



$c \triangleleft \underline{a}$

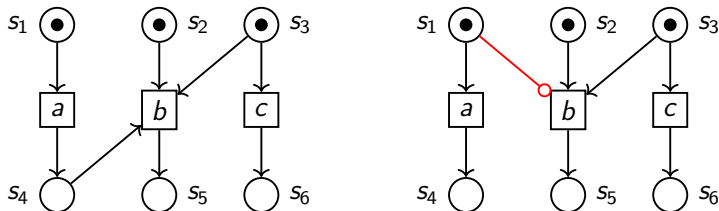
**Inhibitor** arcs can be used to model backward dependencies

## PN: pushing this idea further

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$a < b$  and  $b \neq c$

## PN: pushing this idea further

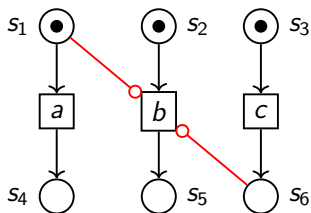
Inhibitor arcs can be used to model dependencies

Recall that conflicts can be asymmetric!

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Inhibitor arcs can be used to model dependencies

Recall that conflicts can be **asymmetric**!

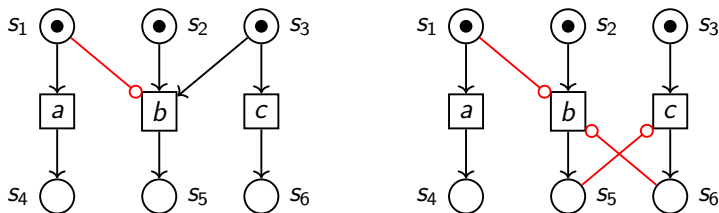


$a < b$  and  $c \nearrow b$

## PN: pushing this idea further

Inhibitor arcs can be used to model dependencies

Recall that conflicts can be **asymmetric**!



$a < b$ ,  $b \nearrow c$  and  $c \nearrow b$

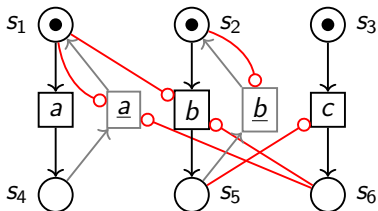
the two asymmetric conflicts  $b \nearrow c$  and  $c \nearrow b$  model  $b \# c$

## PN: pushing this idea further

**Inhibitor** arcs can be used to model dependencies

Recall that conflicts can be **asymmetric**!

We can apply the same intuition for the reversing transitions:



here just  $a$  and  $b$  are reversed

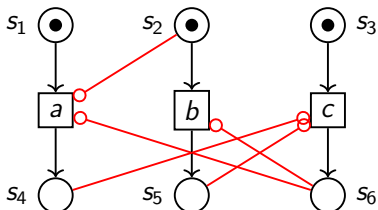
## Causal nets

causal nets (CN) are nets where places have either just one outgoing flow arc or just one incoming flow arc



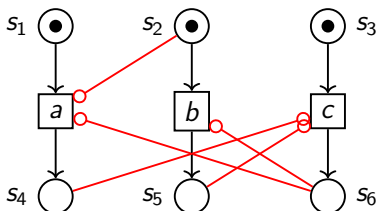
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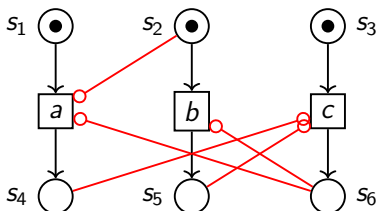
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dependencies are all modeled using **inhibitor** arcs

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dependencies are all modeled using **inhibitor** arcs

Each occurrence net can be transformed into a CN, not the vice versa

# Asymmetric Event structure

asymmetric ES (AES)

# Asymmetric Event structure

## asymmetric ES (AES)

recall:  $(E, <, \nearrow)$  where

- $E$  is a countable set of *events*
- $< \subseteq E \times E$  is an irreflexive partial order, called *causality*, defined such that  $\forall e \in E. [e] = \{e' \in E \mid e' \leq e\}$  is finite
- $\nearrow \subseteq E \times E$ , called *weak causality*, is defined such that for all  $e, e' \in E$ 
  - $e < e' \Rightarrow e \nearrow e'$
  - $\nearrow \cap ([e] \times [e])$  is acyclic
  - if  $e \# e'$  and  $e' < e''$  then  $e \# e''$ .

# Asymmetric Event structure

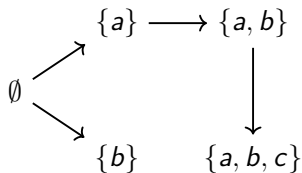
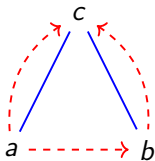
asymmetric ES (AES)

a **configuration** is a set  $X$  of events such that

- $\nearrow$  is well-founded on  $X$  (events are not in conflict)
- $\forall e \in X. [e] \subseteq X$  (it contains all the causes for each event)
- $\forall e \in X. \{e' \in X \mid e' \nearrow e\}$  is finite (events have finite sets of weak causes)

# Asymmetric Event structure

asymmetric ES (AES)



# Asymmetric Event structure and reversible ones

asymmetric ES (AES) and reversible AES (RAES)

$(E, U, <, \nearrow, \prec, \triangleleft)$  where  $E$  is the set of events,  $U \subseteq E$  is the set of *reversible* events, and

- $\nearrow \subseteq E \times E$ , called *weak causality*;
- $\triangleleft \subseteq U \times E$ , called *prevention*;
- $< \subseteq E \times E$ , called *causation*, is an irreflexive relation defined such that for all  $e \in E$ ,  $[e]_< = \{e' \in E \mid e' \leq e\}$  is finite and  $(\nearrow \cup <)$  is acyclic on  $[e]_<$ ;
- $\prec \subseteq E \times U$ , called *reverse causation*, is defined such that  $\forall u \in U. u \prec u$ ; and for all  $u \in U$ ,  $[u]_\prec = \{e \in E \mid e \prec u\}$  is finite and  $(\nearrow \cup <)$  is acyclic on  $[e]_\prec$ ;
- for all  $e \in E, u \in U. e \prec u \Rightarrow \neg(u \triangleleft e)$ ; and  $(E, \Leftarrow, \nearrow)$  with  $\Leftarrow = < \cap \{(e, e') \mid e \notin U \text{ or } u \triangleleft e'\}$  is an AES.



# Asymmetric Event structure and reversible ones

asymmetric ES (AES) and reversible AES (RAES)

$A \cup \underline{B}$  is *enabled* at  $X$  if  $A \cap X = \emptyset$ ,  $B \subseteq X$ ,  $\nearrow$  is acyclic on  $A \cup X$  and

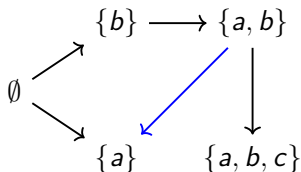
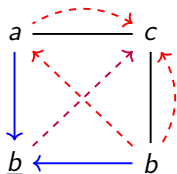
- for every  $e \in A$ , if  $e' < e$  then  $e' \in X \setminus B$  and if  $e \nearrow e'$  then  $e' \notin X \cup A$ ; and
- for every  $u \in B$ , if  $e' \prec \underline{u}$  then  $e' \in X \setminus (B \setminus \{u\})$  and if  $\underline{u} \triangleleft e'$  then  $e' \notin X \cup A$ .

$X$  is a (*reachable*) *configuration* if there exist a sequences of pairs of sets  $A_i$  and  $B_i$ , for  $i = 1, \dots, n$ , such that

- $A_i \subseteq E$  and  $B_i \subseteq U$  for all  $i$ , and
- $X_i \xrightarrow{A_i \cup B_i} X_{i+1}$   $X_1 = \emptyset$  and  $X_{n+1} = X$ .

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A bit more general: we have also the symmetric version, which is a particular case, so we do not lose anything

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The prevention relation is quite similar to an asymmetric conflict

# Why asymmetry is desirable/useful

kind of **concrete** example

```
pthread_mutex_t m = // initialization
int *x=malloc( sizeof( int ) ); ← event a
void thread( void *arg )
{
    pthread_mutex_lock(&m);
    if( x != NULL )
        doSomething( x ); ← event b
    pthread_mutex_unlock(&m);
}
int main()
{
    pthread_t t;
    pthread_create(&t, NULL, thread,
        NULL);
    pthread_mutex_lock(&m);
    free( x ); ← event c
    pthread_mutex_unlock(&m);
    return 0; }
```

b  $\triangleright$  c for the **forward** flow: c should be reversed before b is reversed

# Asymmetry in CN

We consider **asymmetric** CN

# Asymmetry in CN

$C = \langle S, T, F, I, m \rangle$  is a **pre asymmetric** (pACN) if the following conditions hold:

- $\forall t, t' \in T. t^\bullet \cap \bullet t' = \emptyset$ ;
- $\forall s \in S. |\bullet s| \leq 1 \wedge |s^\bullet| \leq 1$  and  $m = \bullet T$ ;
- $\forall t \in T. |\bullet t| = |t^\bullet| = 1$ ;
- $\triangleleft^+$  is a partial order (**induced** by **inhibitor** arcs);
- $\forall t \in T. \lfloor t \rfloor_{\triangleleft} = \{t' \in T \mid t' \triangleleft^* t\}$  is finite and  $(\rightsquigarrow \cup \triangleleft)$  is acyclic on  $\lfloor t \rfloor_{\triangleleft}$  ( $\rightsquigarrow$  is the **weak causality** induced by the **inhibitor** arcs);
- for all  $t, t' \in T. t \triangleleft^+ t'$  implies  $t \triangleleft t'$ .

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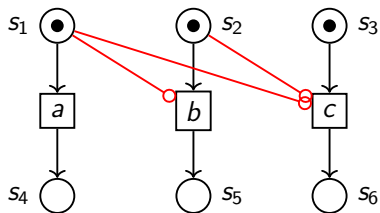
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$C$  is an **asymmetric** causal net (ACN) whenever for all  $t, t', t'' \in T$ .  $t \nparallel t' \wedge t' \triangleleft t''$  imply  $t \nparallel t''$  ( $\nparallel$  is the symmetric conflict).



# Asymmetry in CN

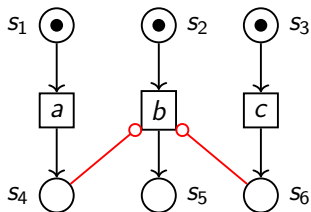
◀ dependencies



to execute  $c$  we have to execute  $a$  and  $b$  first

# Asymmetry in CN

$\rightsquigarrow$  dependencies ( $t' \rightsquigarrow t$  then  $t^\bullet \cap {}^\circ t' \neq \emptyset$ )

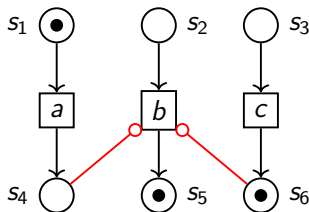


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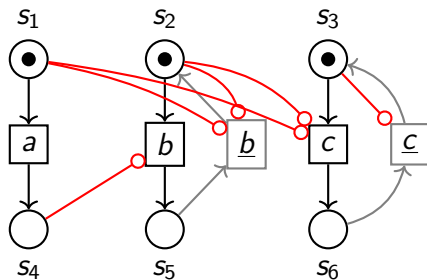
# Asymmetry in CN and reversibility

$V = \langle S, T, F, I, m \rangle$  is a **reversible** Asymmetric Causal Net (rACN) if there exists a partition  $\{\overline{T}, \underline{T}\}$  of  $T$ , with  $\overline{T}$  the forward transitions and  $\underline{T}$  the backward ones, such that:

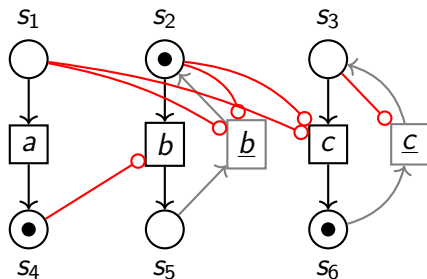
- $V_{\overline{T}} = \langle S, \overline{T}, F|_{\overline{T} \times \overline{T}}, I|_{\overline{T} \times \overline{T}}, m \rangle$  is a pACN net;
- $\forall \underline{t} \in \underline{T}. \exists! t \in \overline{T}$  such that  $t^\bullet = \bullet \underline{t}$ ,  $\bullet t = \underline{t}^\bullet$ , and  $\bullet t \subseteq \circ \underline{t}$ ;
- $\forall \underline{t} \in \underline{T}. K_{\underline{t}} = \{t' \in \overline{T} \mid \circ \underline{t} \cap \bullet t' \neq \emptyset\}$  is finite and  $\leadsto$  acyclic on  $K_{\underline{t}}$ ;
- $\forall \underline{t} \in \underline{T}. \forall t \in \overline{T}$  if  $\bullet t \cap \circ \underline{t} \neq \emptyset$  then  $t^\bullet \cap \circ \underline{t} = \emptyset$ ;
- $\forall t, t', t'' \in \overline{T}. t \not\vdash t' \wedge t' \lll t'' \Rightarrow t \not\vdash t''$  with  $\lll$  being the transitive closure of  $\prec \cap \{(t, t') \mid \underline{t} \notin \underline{T} \text{ or } \circ \underline{t} \cap t'^\bullet \neq \emptyset\}$ .

code the relations of reverse causality and prevention with inhibitor arcs

## Asymmetry in CN and reversibility



## Asymmetry in CN and reversibility



the markings determine the state of the system, and it is reached executing first  $b$ , then  $a$ , then  $c$  and reversing  $b$

## Results: from rACN to rAES

$V^T = \langle S, T, F, I, m \rangle$  be an rACN.

$\mathcal{E}_r(V^T)$  is the tuple  $(\overline{T}, U, \triangleleft, \lll \cup \rightsquigarrow, \prec, \triangleleft)$  where  $U = \{t \in \overline{T} \mid \underline{t} \in \underline{T}\}$  are the reversible events and  $\triangleleft, \rightsquigarrow, \prec, \triangleleft$  and  $\lll$  are the causation, weak causality, reverse causality, prevention and sustained causation induced by  $F$  and  $I$

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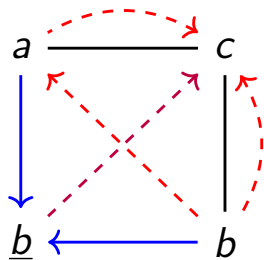
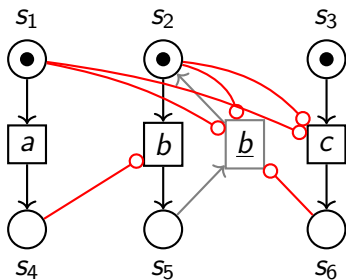
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$\mathcal{E}_r(V)$  is a reversible asymmetric event structure



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If  $m \setminus \bullet X \cup X \bullet$  is a **reachable** marking of an rACN  $V$  then  $X$  is also a **configuration** of  $\mathcal{E}_r(V)$

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$\mathcal{N}_r(H)$  is the net  $\langle S, E \cup \{(\underline{u}, r) \mid u \in U\}, F, I, m \rangle$  where

$$S = \{(A, B) \mid A, B \subseteq E \wedge |A| \leq |B| \leq 1 \wedge A \subseteq B\}$$

$$F = \{((\emptyset, \{e\}), e) \mid e \in E\} \cup \{(e, (\{e\}, \{e\})) \mid e \in E\} \cup \\ \{((\{u\}, \{u\}), (\underline{u}, r)) \mid u \in U\} \cup \{((\underline{u}, r), (\emptyset, \{u\})) \mid u \in U\}$$

$$I = \{((\emptyset, \{e'\}), e) \mid e' < e\} \cup \{(((\{e'\}, \{e'\})), e) \mid e \nearrow e' \wedge \neg(e' < e)\} \\ \cup \{((\emptyset, \{e\}), (u, r)) \mid e \prec \underline{u}\} \cup \{(((\{e\}, \{e\})), (u, r)) \mid \underline{u} \triangleleft e\}$$

$$m = \{(\emptyset, A) \mid (\emptyset, A) \in S\}$$

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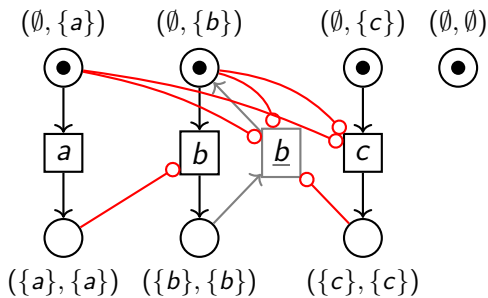
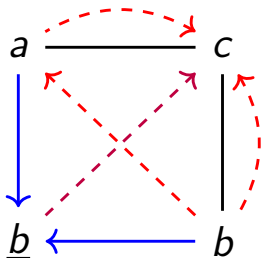
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$\mathcal{N}_r(H)$  is an reversible causal net

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If  $X$  is a **configuration** of an rAES  $H$  then  $m \setminus \bullet X \cup X \bullet$  is a **reachable** marking of  $\mathcal{N}_r(H)$